

1 **Title:** Transformation of temporal sequences in the zebra finch auditory system

2 **Running Title:** Zebra Finch auditory transformations

3

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17

18 **Abstract:**

19 This study examines how temporally patterned stimuli are transformed as they  
20 propagate from primary to secondary zones in the thalamorecipient auditory pallium in  
21 zebra finches. Using a new class of synthetic click stimuli, we find a robust mapping  
22 from temporal sequences in the primary zone to distinct population vectors in secondary  
23 auditory areas. We tested whether songbirds could discriminate synthetic click

24 sequences in an operant setup and found that a robust behavioral discrimination is  
25 present for click sequences composed of intervals ranging from 11-40ms, but breaks  
26 down for stimuli composed of longer inter-click intervals. This work suggests that the  
27 analog of the songbird auditory cortex transforms temporal patterns to sequence-  
28 selective population responses or “spatial codes,” and that these distinct population  
29 responses contribute to behavioral discrimination of temporally complex sounds.

30

### 31 **Introduction**

32 A highly developed auditory cortical network supports auditory-vocal behavior in  
33 songbirds. The core of the auditory processing system consists of anatomical areas  
34 named Field L, NCM (Caudomedial Nidopallium), and CM (Caudomedial Mesopallium)  
35 (Vates et al. 1996) (Figure 1c). These areas and other associated auditory areas are  
36 directly and indirectly connected with the song motor pathway (Vates et al. 1996;  
37 Mandelblat-Cerf et al. 2014). Field L, the primary thalamorecipient area, is composed of  
38 four different sub-regions (L2a, L2b, L1, and L3) that are reciprocally connected (Vates  
39 et al. 1996). Among these sub-regions, L2a receives the strongest input from the core of  
40 Ov (Nucleus Ovoidalis), the primary auditory thalamus (Müller & Leppelsack 1985;  
41 Rübsamen & Dörrscheidt 1986; Hose et al. 1987). Secondary auditory areas, L2b, L3,  
42 and L1 receive feedforward input from L2a and thalamus, but also receive feedback  
43 from higher cortical areas such as CM. These hierarchically and reciprocally connected  
44 auditory areas are thought to be analogous to the early stages of mammalian auditory  
45 cortex, but the details of the homologies remain a subject of debate (Jarvis et al. 2005;  
46 Wang et al. 2010; Calabrese & Woolley 2015).

47 For zebra finches and other songbirds, temporal cues in song provide reliable  
48 information about the identity of the singer and are used for perceptual discrimination of  
49 songs (Gentner & Margoliash 2003; Gentner et al. 2006; Grace et al. 2003; Shaevitz &  
50 Theunissen 2007). The songbird auditory processing stream is well adapted to this  
51 information processing task and reliably relays temporal information in conspecific song.  
52 In the zebra finch auditory system, there are neurons from midbrain to the highest levels  
53 of auditory association areas that respond with precise spike times to playback of  
54 conspecific song. This is true for both dense spiking neurons and the highly selective,  
55 sparse firing neurons recently described in the high level auditory area, NCM  
56 (Schneider & Woolley 2013), as well as the auditory-motor association area, HVC (High  
57 Vocal Center) (Prather et al. 2008). Using a Spectrotemporal Receptive Field (STRF)  
58 analysis, the effective temporal integration window of neurons in L2a, the first  
59 thalamorecipient zone, was observed to be very brief compared with responses one  
60 step further from the periphery in areas L1 and L3 (Kim & Doupe 2011). The secondary  
61 areas, including L2b, L1, and L3 but not the primary zone, L2a, are recipients of  
62 significant feedback from high order auditory areas (Vates et al. 1996). Combined,  
63 these few studies suggest that an interesting transformation of temporal sequences  
64 could take place between primary and secondary zones in Field L.

65 Here we developed new experimental paradigms to examine how temporally  
66 patterned auditory stimuli are transformed in the transition from the primary  
67 thalamorecipient zone, L2a, to secondary auditory processing areas, L2b and L3. We  
68 first demonstrate that from primary area, L2a, to secondary areas, L2b and L3, neurons

69 responding to song become less synchronous in their relative response times yet more  
70 informative about the identity of specific syllables.

71 To zero in more closely on the nature of the transformation, we examined  
72 responses to a set of simplified auditory stimuli consisting of click sequences. The  
73 chosen stimuli were akin to “Morse code” - the sounds differed only in the temporal  
74 ordering of intervals between clicks. These intervals were drawn from a distribution  
75 similar to the intervals between sub-syllabic acoustic transitions in zebra finch song  
76 (Gardner et al. 2001; Amador et al. 2013). For click sequence listening, a distinctive  
77 transformation of auditory responses was found between primary and secondary  
78 auditory zones. In the primary zone, each click elicited a similar low latency response in  
79 all recorded neurons, and the structure of this response was largely insensitive to the  
80 temporal context of the click. One synapse further from the periphery, in secondary  
81 auditory areas, L2b and L3, neurons responded asynchronously and selectively,  
82 depending on the temporal context of the click. In effect, temporal sequences are  
83 transformed to distinct population vectors in the transition from primary to secondary  
84 auditory areas. In this process, temporal patterns come to be represented in a format  
85 that could directly form the basis of perceptual discriminations based on simple  
86 thresholds.

87 We next tested whether songbirds could discriminate different temporal click  
88 sequence patterns in an operant training paradigm. A novel “restart-go” operant  
89 paradigm was developed for this purpose, a paradigm that we found effective for  
90 particularly challenging discrimination tests in zebra finches. Using this training  
91 procedure, zebra finches rapidly learned to discriminate click sequences composed of

92 song-like intervals. When the stimulus set was slowed by a factor of two, the strength of  
93 the temporal to spatial transformation in the secondary auditory was reduced, and there  
94 was a corresponding degradation of behavioral discrimination.

95 Taken together, these results indicate that the ascending auditory pathway in  
96 zebra finches transforms temporal sequences into distinct population vectors. This  
97 transformation applied to click sequences consisting of intervals that overlap with sub-  
98 syllabic acoustic structure in song, and may provide an important substrate for song  
99 perception and discrimination in sub-syllabic time-scales.

100

## 101 **Results**

102 General note: the electrophysiological recordings reported here were gathered using  
103 four-shank, 32 channel silicon electrodes. From each bird, we recorded activity  
104 simultaneously from the primary thalamorecipient zone in auditory area, Field L2a, and  
105 neighboring auditory areas in L2b and L3 (Figure 1a,1b). All stimuli were presented in  
106 an interleaved fashion, and each animal was recorded acutely, with all data gathered in  
107 a single session. All data presented in figures and quantified below were gathered from  
108 well-sorted single-unit responses – a minority of recordings (Figure 3 – figure  
109 supplement 3, The only exception to this rule is Figure 3 – figure supplement 2, which  
110 includes a few channels of high SNR multi-unit traces that did not satisfy our criterion for  
111 single unit isolation. These traces are marked with an asterisk). For additional details,  
112 see Methods.

113

## 114 **Transformation of song responses in the auditory hierarchy**

115 We first compared the temporal coding of song in primary (L2a) and secondary  
116 auditory areas (L2b and L3) of unanesthetized songbirds. Our intent was not to  
117 thoroughly catalog song responses, but rather to calibrate responses in order to design  
118 a set of synthetic stimuli that could be used for the remainder of the study. Primary and  
119 secondary recording sites were distinguished based on the distinct response profiles  
120 found in the two areas (Figure 1a,3a). This classification was confirmed by spatial  
121 mapping of the recording sites (Figure 1 - figure supplement 1) showing that the primary  
122 cells were spatially segregated from the secondary neurons (Due to small anatomical  
123 and surgical variations and the small scale of the primary zone, this area could not be  
124 reliably identified by spatial coordinates alone).

125 Precise spike timing could be found in both primary and secondary areas in  
126 response to song. Focusing first on responses in the primary auditory area, L2a, we  
127 found a surprising degree of response synchrony across neurons and across birds  
128 (Figure 1a). The population peri-stimulus temporal histogram (PSTH) for each song was  
129 deeply modulated for neurons in L2a (Figure 1 – figure supplement 3, Figure 2a reveals  
130 the histogram of inter-peak intervals in this population PSTH). In contrast, neurons in  
131 secondary auditory areas, L2b and L3, showed a broader repertoire of response  
132 profiles. This increase in the diversity of response timing leads to a decrease in the  
133 magnitude of the cross-correlation between the PSTHs of individual neurons in the  
134 secondary auditory areas relative to a similar cross-correlation performed in primary  
135 area, L2a (Figure 1d).

136

137 **Transformation of click-sequence responses in the auditory hierarchy**

138 Our next objective was to examine whether a similar transformation from  
139 synchronous to asynchronous coding could be seen for more elementary stimuli  
140 consisting of irregularly spaced clicks. This synthetic stimulus would allow us to probe  
141 whether the sequence transformation from the primary to secondary auditory areas  
142 requires complex spectral content. If secondary auditory neurons have more complex or  
143 more selective spectral receptive fields, the emergence of asynchronous coding in the  
144 secondary auditory areas could be explained on the basis of this acoustic selectivity  
145 alone. However, if the transformation from synchronous primary response to  
146 asynchronous secondary responses could be reproduced with click trains, the result  
147 would indicate that the auditory processing pathways contain intrinsic temporal  
148 dynamics that transform temporal sequences independent of spectral selectivity.

149 The chosen synthetic stimuli were three seconds long and composed of clicks  
150 separated by ten specific inter-click intervals (11,14,16,20,23,26,29,34,36,40ms). We  
151 chose these intervals based on the timescale of neural responses to birdsongs in L2a  
152 (Figure 2a,2b). The inter-peak intervals of the population PSTH in response to these  
153 click sequences was similar to inter-peak intervals in response to natural song. In effect,  
154 we chose click patterns that, in primary auditory area, elicited a temporal response  
155 loosely overlapping with the natural song response. We note that the selected inter-click  
156 intervals are also similar to intervals between sub-syllabic acoustic transitions found in  
157 zebra finch song (Amador et al. 2013; Norton & Scharff 2016). For comparison, Figure 2  
158 also shows the L2a PSTH inter-peak interval histogram for click sequences slowed by a  
159 factor of two.

160 The duration of all ten click intervals summed together is 249ms. The longer  
161 three-second sequences were built from 249ms blocks, where each block contains a  
162 permutation of the ten click intervals. In some stimuli the blocks were repeating and in  
163 others non-repeating. For all sequences the stimuli differed only in the ordering of click  
164 intervals. On timescales longer than the block duration the statistical properties of all  
165 stimuli were equivalent. The set of stimuli used in this study can be seen in Figure 3 -  
166 figure supplement 1 (Sample audio files are also provided. See supplementary file 1).

167 Raster plots for single units in primary and secondary auditory areas are shown  
168 in Figure 3a (Example spike waveforms of single units and corresponding rasters are  
169 shown in Figure 3 – figure supplement 3). Raster plots for the full ensemble of single  
170 and multi-units are shown in Figure 3 – figure supplement 2, including a breakdown of  
171 secondary cells into narrow (red) and broad-spiking (black) neuron waveforms. Only  
172 narrow units were found in the primary auditory area (This figure is the only time in the  
173 paper that poorly sorted units, or “multi-units” are included). A distinct change in the  
174 temporal response to click sequences can be found in the transition from primary to  
175 secondary areas. In the primary auditory area, the click responses are fairly insensitive  
176 to the local context – to first approximation, each click evoked a synchronous, low  
177 latency response across channels, whereas secondary auditory areas were  
178 characterized by sparser and less synchronous responses that were more sensitive to  
179 the sequence context of the click (Figure 3 – figure supplement 4,5). The click  
180 sequence, by definition, contains no significant spectral cues for frequencies above  
181 100Hz (the shortest interval in the click set was 11ms, thus below the 100Hz cutoff).  
182 Zebra finch hearing thresholds for pure tones are attenuated by about 20dB relative to



183 humans at 100Hz (Okanoya & Dooling 1987; Moore 2007), and the fundamental  
184 frequency of conspecific song is typically 500Hz or higher in zebra finches.

185         As for song responses, the transition from primary to secondary thalamorecipient  
186 areas reveals a desynchronizing transformation that maps temporal click sequences  
187 onto distinct neuronal ensembles. For the click sequences used here, this  
188 transformation is even more apparent than for song responses. The diversification of  
189 neuronal responses increases the information about the preceding temporal context of a  
190 given click that the population vector contains. To demonstrate this, we computed  
191 phase space trajectories of the population vector in response to click sequences, and  
192 then quantified the Euclidean distance between these phase space trajectories. In this  
193 analysis, every neuron recorded defines a direction in a phase space hypercube, and  
194 the average firing rate of the cell defines a position along the respective axis.

195         The phase space trajectory for three cells in the primary auditory area and three  
196 cells in the secondary auditory areas are shown in Figure 4a during playback of two  
197 distinct sequences. In the primary auditory area, L2a, the phase space trajectories of  
198 distinct stimuli overlap for all time points, meaning that the pattern of active cells  
199 contains little population-vector information that can distinguish the stimuli. In contrast,  
200 in secondary auditory areas, specific points in the phase space trajectory diverge from  
201 one another in a stimulus-dependent manner. That is, the pattern of cell responses in  
202 secondary auditory areas contain information about one or more intervals preceding the  
203 click. To summarize simply - there are particular configurations of active cells that occur  
204 only during playback of one stimulus or another — a useful feature for a system that is  
205 tuned to make fine discriminations about temporal sequence patterns.

206 To quantify the degree to which the click stimuli can be distinguished based on  
207 the neural responses, we defined a simple decoding mechanism based on the  
208 population vector of the ensemble response (see Methods for details). In this decoding,  
209 the discriminability of the sequence at a particular time is given by the distance in phase  
210 space to the nearest trajectory belonging to a different stimulus. The power of this  
211 “spatial” code for sequence discrimination is quantified through an ROC (Receiver  
212 Operating Characteristic) analysis in Figure 4b. We analyzed coding in primary and  
213 secondary areas using the ROC analysis, based on a fixed number of single unit  
214 recordings (n=10) in both cases. In the secondary auditory areas, but not the primary  
215 thalamorecipient area, temporal sequences are mapped onto distinct population  
216 patterns, revealing a better sequence decoding in the ROC analysis. We repeated this  
217 analysis just for the first 500ms of the stimulus, and still found a high degree of  
218 sequence discriminability in the secondary auditory areas (Figure 4 – figure supplement  
219 1). This shorter analysis is more directly relevant to the behavioral discriminations  
220 reported below, since trained birds performing behavioral discriminations typically  
221 respond within this time frame (Figure 6 – figure supplement 2). To further validate  
222 this approach, we applied the same analysis to the PSTH of the song syllable  
223 responses (n=13 syllables, Figure 1a) and found an increase in syllable discriminability  
224 in the secondary auditory area (Figure 1 - figure supplement 2). Given the rich spectral  
225 content of song relative to clicks, the primary area, L2a, already shows a high degree of  
226 response selectivity, better than in response to the click sequences.

227 We next repeated the click electrophysiology using a stimulus set composed of  
228 intervals twice as long as the first (22-80ms, rather than 11-40ms, Figure 2c). This

229 change in stimulus timescale had a minimal impact on spike rate in secondary auditory  
230 cortex (Figure 5 – figure supplement 1), but a significant change in the power of the  
231 temporal to spatial transformation. Using the same phase plane ROC analysis, we  
232 found that the timescale dilation led to reduced sequence discrimination in secondary  
233 auditory areas (Figure 5).

234

### 235 **Behavioral recognition of click sequences**

236 The preceding electrophysiology experiments demonstrated a transformation of  
237 click responses to distinct population vectors in secondary auditory areas of  
238 unanesthetized zebra finches. As a result, areas downstream of secondary auditory  
239 areas could, in principle, solve a click-sequence classification problem based on a  
240 simple summation and threshold applied to subsets of secondary cell inputs. Given the  
241 robust transformation of temporal click sequences in zebra finch auditory areas, we next  
242 sought to determine if songbirds could be trained to behaviorally discriminate this class  
243 of artificial stimuli, and whether or not properties of the electrophysiological responses  
244 correlated with behavioral discriminations.

245 Songbirds were trained using a new operant training procedure developed for  
246 this study (Figure 6 - figure supplement 1). We call this automated training “reset-go.” A  
247 detailed description of the training procedure can be found in Methods. In essence, a  
248 bird can demonstrate learning through two behaviors - by interrupting the playback of a  
249 non-rewarding stimulus to “request” the reset of an unfavorable trial, or by accessing the  
250 water port during playback of rewarding stimuli. In all experiments, two sounds were  
251 presented - a rewarded stimulus (click sequence 2 from Figure 3 - figure supplement 1)

252 and non-rewarded stimulus (click sequence 1 or 9 from Figure 3 - figure supplement 1).  
253 Zebra finches in this task were mildly water restricted, and worked for 1-5 $\mu$ l drops of  
254 water, routinely performing a thousand trials in a five hour training session.

255 Figure 6a reveals the time-course of discrimination learning for one bird. After ten  
256 days of initiation of training this bird would interrupt the playback of the unrewarded  
257 stimulus (sequence 1) within three seconds and access the water port while the  
258 rewarded stimulus (sequence 2) was presented. Figure 6b shows summary statistics for  
259 learning in eight birds trained to discriminate sequence 1 vs. sequence 2. Figure 6 –  
260 source data 1 documents the groups of birds trained and Figure 6 - figure supplement 2  
261 shows the time-course of learning for the various groups. The detailed training  
262 procedure is described in Methods. Over a population of trained birds (n=53), a majority  
263 (n=35 birds) showed high levels of performance ( $d' > 1$ ) within 14 days of training onset,  
264 revealing that songbirds could readily learn to discriminate the fast temporal click  
265 sequences used in this study.

266

### 267 **Catch trials probe the nature of auditory discrimination**

268 To probe the underlying nature of the auditory discrimination, we examined catch  
269 trials for two conditions. For time-reversed click stimuli, behavior fell to chance levels  
270 (Figure 7a), indicating that the ordering of the click intervals was critical to the  
271 behavioral discrimination. The next test examined cyclic permutations of the training  
272 stimuli. Rather than beginning playback at the normal starting interval of each  
273 sequence, the cyclic permutation initiated each stimulus at a random click interval in the  
274 three second stimulus – effectively a phase shift in the stimulus. For this group of catch

275 trials, a small decrease in performance was found when the cyclic stimulus was first  
276 introduced, but within four days, performance returned to baseline (Figure 7b). The  
277 conclusion from this is that the birds are listening for patterned sequences of intervals  
278 irrespective of their absolute time of occurrence relative to the onset of the trial.

279

## 280 **Breakdown of behavioral recognition**

281 Since the click sequence contains no spectral structure above 100Hz, stretching  
282 the click sequence is a manipulation that has no impact on the frequency content of the  
283 sound in the spectral range of zebra finch syllables (>500Hz). We found that birds  
284 trained to discriminate fast sequences failed to respond above chance when the  
285 timescale of the clicks was slowed by a factor of two. The slow sequences were  
286 truncated at three seconds to match the original stimulus duration.

287 We next examined whether naive birds could learn to discriminate the slower  
288 click sequences if they were exclusively trained on the slower sequences from the  
289 outset. Eleven birds were trained in a single stage training and four birds trained on the  
290 first stage of a two-stage training procedure that is also documented in the methods  
291 section. In contrast to the high success rate for faster click sequences, no bird  
292 developed a discrimination ability for the slower click sequences (Figure 6c, Figure 6 -  
293 figure supplement 2g,2h). The ability to discriminate click stimuli was found only for the  
294 faster click sequences.

295

## 296 **Discussion**

297           Songbirds form detailed auditory memories for complex songs, and these  
298 memories serve to guide imitative vocal learning (Nottebohm 1972; Brainard & Doupe  
299 2002; Bolhuis & Gahr 2006; Gardner et al. 2005). In parallel, a range of songbird  
300 species can perform at high levels in operant tasks involving song and synthetic  
301 stimulus discrimination (Austen et al. 2011; Sturdy & Weisman 2006; Cynx &  
302 Nottebohm 1992; Scharff et al. 1998; Stripling et al. 2003; Abe & Watanabe 2011).  
303 While songbird auditory performance has been well documented, the network  
304 mechanisms underlying song discriminations have not been studied. In particular, one  
305 of the least understood aspects of auditory sequence processing concerns the  
306 transformations applied to complex temporal sequences (Mauk & Buonomano 2004).

307           The present study provides insight into the processing of a simple class of  
308 temporal sequences composed of irregularly spaced clicks. We find that after the  
309 stimulus passes through the primary thalamorecipient zone, L2a, L2b, and L3, these  
310 temporal sequences are transformed into distinct population vectors or “spatial codes.”  
311 The mapping of temporal patterns to spatial patterns or ensemble codes provides an  
312 opportunity for downstream neurons to perform stimulus discrimination based on simple  
313 linear classifiers acting on the population vector. For the click stimuli used in this study,  
314 reliable discriminations could be made based on the distinct population vectors that  
315 arise in L2b and L3, binned in 5ms time bins.

316           Operant training revealed that songbirds readily learn to discriminate the Morse-  
317 code like click stimuli. The fast click sequences were behaviorally discriminable with  
318 high accuracy in a majority of trained birds. Surprisingly, no animals learned to  
319 discriminate click sequences that were slowed by a factor of two, even though

320 secondary auditory areas responded with similar spike rates to the slower stimulus. The  
321 slowed sequences evoked inter-peak intervals in primary auditory area PSTH that were  
322 longer than the typical intervals between peaks in the PSTH during natural song  
323 exposure. We suggest that the ascending auditory pathway in the transition from L2a to  
324 L2b and L3 is tuned to process temporal events on the faster timescale (11-40ms) in a  
325 manner that is particularly useful for song memorization and discrimination.

326 We mention two caveats in the present study. First, the high-pass cutoff  
327 frequency of the loudspeakers was 3kHz (High frequency tweeters were used for  
328 stimulus delivery limiting the spectral content of each click). We do not know how the  
329 spectral content of the click impacts the behavioral discrimination of the slower  
330 sequences. In another prior study, zebra finches were able to discriminate sequences of  
331 beeps spaced by intervals of up to 300ms – intervals much longer than those used in  
332 our study (van der Aa et al. 2015). It is likely that brief clicks and longer tones tap into  
333 auditory processing pathways with distinct temporal dynamics, explaining the  
334 performance difference (In addition, many details of the temporal discrimination tasks  
335 were different in the two studies, and the distinct results may also relate to these task  
336 differences). Additional tests will be needed to determine whether or not the spectral  
337 content of each click impacts the behavioral performance. Opportunities also exist to  
338 further examine the ability of the zebra finch to generalize temporal pattern recognition  
339 through time-dilations (Nagel et al. 2010).

340 The second caveat is that the single unit ensembles studied here were “virtual  
341 ensembles” recorded in different animals; noise correlations within animals could further  
342 impact discrimination in ways that were not addressed here (Zohary et al. 1994; Abbott

343 & Dayan 1999). While we did not acquire enough high quality single unit data to perform  
344 the ROC analysis within single animals, enough units were recorded simultaneously to  
345 qualitatively reveal the transformation from primary to secondary responses in summary  
346 raster plots (Figure 3 - figure supplement 2,3). These rasters support the view that the  
347 sequence transformation described for virtual ensembles will also hold for ensembles of  
348 neurons in individual birds.

349         Much theoretical interest has focused on the question of how brains composed of  
350 neurons with short intrinsic timescales can process long timescale stimuli and generate  
351 long timescale behaviors (Lashely 2004). For temporal stimuli composed of identical  
352 units such as clicks, intrinsic cellular or circuit mechanisms must bridge intervals of time  
353 from one interval to the next in order to create sequence-specific population responses.  
354 To encode the history of the stimulus in the present state of the network, synfire chains,  
355 avalanches, or more complex transient dynamics in recurrent networks have all been  
356 proposed (Abeles 1991; Grossberg 1969; Maass et al. 2002). In other models,  
357 persistent currents in single cells bridge intervals of time (Egorov et al. 2002). In each of  
358 these models, intrinsic dynamics of cortical cells or circuits are used to transfer  
359 information about past events into the network responses at a given time.

360         One effective way of transferring information about prior events into current  
361 responses is through feedback connections. Primary auditory area (L2a) in songbirds  
362 reportedly receives no feedback from higher level auditory zones (Vates et al. 1996),  
363 and the synchronous, low-latency responses in this region may reflect a feedforward  
364 response to thalamic drive. In contrast, all other areas, including the secondary auditory  
365 zones examined here (L2b and L3), are more densely interconnected both with each



366 other and with higher level auditory areas. This anatomical distinction raises the  
367 possibility that L2b and L3, but not the primary auditory area, L2a, can sustain  
368 reverberant activity that could underlie the temporal sequence transformation observed  
369 in L2b and L3. Relevant theoretical constructs for this model include liquid state  
370 machine theories (Maass et al. 2002). By way of illustration, Figure 8 reveals the output  
371 of a simple reverberant model that recapitulates key features of the observed dynamics.  
372 In this case, the model is simply a linear dynamical system driven by click sequences  
373 and additive noise, and tick marks represent time points when the vector ( $v$ ) crosses  
374 arbitrary threshold amplitude.

$$375 \quad \quad \quad dv/dt = \alpha Mv - \gamma v - \eta \quad (1)$$

376 In this example, matrix  $M$  is a random anti-symmetric matrix, with all imaginary  
377 eigenvalues, and  $\eta$  is a random noise term. By choosing the time-constants  $\alpha$  and  $\gamma$   
378 appropriately, the model can produce patterns that resemble spike rasters observed in  
379 L2b and L3. Figure 8, generated by this linear system, simply illustrates the point that  
380 even the simplest recurrent dynamical systems have the capability of transforming click-  
381 sequence information into distinct population vectors when properly tuned. In this  
382 example, the anti-symmetric matrix,  $M$ , provides a form of “critical tuning” in which  
383 multiple oscillatory timescales are equally excitable, providing for richer temporal  
384 dynamics than would occur for a typical nonsymmetric random connectivity matrix  
385 (Magnasco et al. 2009). While the hypothesis that recurrence explains the auditory  
386 sequence transformation is appealing, new experimental studies are needed to examine  
387 the role of recurrent dynamics in temporal sequence processing in songbird auditory  
388 pallium.

389           While the reverberant models provide an attractive explanation for the sequence  
390 transformation observed in L2b and L3, the behavioral discriminations the birds  
391 exhibited here cannot be taken as evidence for the reverberant model, strictly speaking.  
392 A purely feed-forward counter-hypothesis is that the neurons in secondary auditory  
393 areas could demonstrate biophysical integration timescales that solve the sequence  
394 discrimination through single cell properties. To illustrate this hypothesis, we first  
395 smoothed the click sequences used for behavior training with three different rectangular  
396 windows of timescale  $T$  or shorter and built phase-plane traces of these hypothetical  
397 “smoothing units” in 3D space. In this case, three different smoothing windows  
398 correspond to hypothetical units with different integration timescales. We then analyzed  
399 the minimal time-scale  $T$  for which the behaviorally trained sequences could be perfectly  
400 segregated in the ROC analysis performed earlier for actual neural sequences. From  
401 this analysis, we found that phase plane traces used in the behavioral studies can be  
402 perfectly separated if the width of the longest rectangular window was 100ms or greater.  
403 To state this more simply, while the sequences presented to the birds were three  
404 seconds long, click rates measured in time bins as short as 100ms provide a population  
405 vector that is adequate for sequence discrimination. This 100ms timescale cannot rule  
406 out either feedforward single cell biophysics or recurrent dynamics as contributors to the  
407 sequence transformation.

408           While the circuit mechanisms remain to be established, this study serves to  
409 demonstrate both a distinctive transformation of temporal sequences in the transition  
410 from L2a to higher order areas, L2b and L3, and a behavioral capacity of zebra finches  
411 to discriminate synthetic click sequences. The transformation of temporal sequences to

412 distinct population vectors may underlie the songbird's advanced discrimination abilities  
413 for temporally structured conspecific song.

414 **Materials and methods**

415 All procedures were approved by the Institutional Animal Care and Use Committee of  
416 Boston University.

417

418 ***In vivo experimental preparation:***

419

420 ***Subjects.*** For the neural recordings, we examined a total of 11 different adult male  
421 zebra finches (*Taeniopygia guttata*).

422

423 ***Stimulus.*** The artificial stimulus set used for electrophysiology consists of nine click  
424 sequences with different interval ordering (Figure 3 - figure supplement 1). Sequence 9  
425 was used only for a subset of operant training tests. The natural song stimulus set  
426 consisted of three conspecific songs (n=13 syllables). During electrophysiological  
427 recordings, a neural data acquisition system (RZ-5, Tucker-Davis Technology) triggered  
428 a pulse generator to create rectangular pulses (100 $\mu$ s width) with different intervals, or  
429 played the conspecific bird songs. All stimuli were presented in free-field (60~65dB  
430 Peak amplitude) over a loudspeaker (bird song) (Companion 2, BOSE Corporation,  
431 Framingham, MA, USA) or a tweeter (for clicks) (PLWT4, Pyle Audio, frequency  
432 response range: 3kHz – 30kHz).

433

434 ***Neural recording.*** Prior to the electrophysiological recording, the birds were injected  
435 with the anti-inflammatory analgesic Meloxicam, via intramuscular injection, and  
436 anesthetized (1-2% isoflurane in 0.6-0.8 $\ell$ /min O<sub>2</sub>) for a preparatory surgical procedure to

437 implant a custom-made head-post. Local scalp anesthetic (bupivacaine) was  
438 administered subcutaneously (40 $\mu$ l, 4mg/kg) and a small (0.18g) head plate affixed to  
439 the skull through light-bonded dental acrylic. This was attached so that the head could  
440 later be held at a fixed 55 degree angle during unanesthetized auditory recordings. After  
441 recovery from general anesthetic (two hours), the bird was given a booster dose of  
442 bupivacaine along the margins of the scalp, placed in a foam restraint, and transferred to  
443 a double-walled sound proof chamber (40A-Series, Industrial Acoustics Company,  
444 Bronx, NY, USA), facing a loudspeaker or a tweeter. The sound source was located 25  
445 cm away from the bird beak.

446 We used a four-shank multichannel silicon probe (Impedance: 1-2 M $\Omega$ , A4x8-  
447 5mm-50-200-177-A32, Neuronexus, Ann Arbor, MI, USA) to record extracellular spikes.  
448 The coordinates for recording were 1.5mm lateral and 0.8mm anterior to the bifurcation  
449 point of the mid-sagittal sinus. The probe was advanced slowly at the speed of 1-  
450 2 $\mu$ m/sec using a motorized manipulator (MP-285, Sutter Instrument Company, Novato,  
451 CA, USA) until the tip of electrode was located 1.0-1.6 mm below the surface of the  
452 brain. Recordings lasted for 4-5 hours. At the end of the recording an electrolytic lesion  
453 was made at the location of one of the silicon shank tips using a tungsten electrode  
454 (10 $\mu$ A for 10sec). Following this, the birds were deeply anesthetized (110 $\mu$ l, Sodium  
455 Pentobarbital [250mg/kg]) and perfused. The extracted brains were stored in 4%  
456 paraformaldehyde solution for histology. On the next day after perfusion, parasagittal  
457 100 $\mu$ m sections of the brains were prepared (Vibratome® Series 1000, Technical  
458 Products International, St. Louis, MO, USA) and stained with cresyl violet. Electrode

459 placement was verified by comparing electrolytic lesions to histological landmarks that  
460 define the boundaries of Field L (Fortune & Margoliash 1992).

461

462 ***Spike sorting.*** To isolate single units, the extracellular voltage traces were high-pass  
463 filtered at 500Hz (3rd order Butterworth filter) and putative spikes were detected if the  
464 voltage traces crossed the positive and negative-going threshold (Quiroga et al. 2004).  
465 Then, spikes were re-aligned to the negative peak after resampling up to 250kHz using  
466 cubic spline interpolation method. Features of the aligned spikes were composed of the  
467 first three principal components and wavelet coefficients of spike waveforms (Quiroga et  
468 al. 2004). A mixture of Gaussians model was fit to the spike features using an  
469 Expectation Maximization (EM) algorithm to build distinctive clusters of spikes with  
470 similar spike waveforms (Pham et al. 2005). Unit quality was then assessed by signal-  
471 to-noise ratio (SNR) and refractory period violations to select well isolated single units  
472 (Ludwig et al. 2009). All analyses for spike sorting were performed using custom  
473 software written in MATLAB (The Mathworks Inc. Natick, MA, USA).

474

475 ***Spike pattern classification.*** We classified spike patterns into primary and secondary  
476 responses based on cross-correlations between spike trains and click sequence stimuli.  
477 The similarity score was defined as the maximum cross-correlation value of normalized  
478 PSTH (bin size: 5ms) with the normalized click stimulus. A unit was classified as  
479 primary if the similarity score was above 0.5 and secondary if the score was below 0.5.  
480 Physiological classification was validated by histology (Figure 1b and Figure 1- figure  
481 supplement 1), which revealed that although exact coordinates differed in different

482 animals, primary neurons formed a contiguous island within the surrounding zone of  
483 secondary-like responses. The continuity and scale of these islands of primary  
484 responses were consistent with the known anatomy and location of primary  
485 thalamocortical zone L2a.

486

487 ***Timescales of neural responses.*** The timescales of ensemble responses to songs  
488 and click sequences in the primary auditory area L2a were characterized by the  
489 distribution of intervals between neighboring peaks of the smoothed PSTH (5ms bin).  
490 To smooth the PSTH, we filtered the PSTH with an FIR band-pass filter (Kaiser window,  
491 passband: 5-110 Hz, number of coefficient: 2233, sampling rate: 1kHz, passband ripple  
492 is 5% and stopband attenuation is 40dB). The filtered PSTH was then normalized so  
493 that the values were distributed between 0 and 1. Local peaks of normalized PSTH are  
494 selected based on three conditions: distance between peak and valley  $> 0.01$ , peak  
495 value  $> 0.3$ , and peak height relative to the neighboring valley  $> 0.05$ .

496

497 ***Phase space trajectory.*** After dividing responses into two groups (primary or  
498 secondary), we built a population vector array that contained all PSTHs of units for  
499 different stimuli (bin size: 5ms). Each vector had  $n$  dimensions of data, where  $n$  is the  
500 number of neurons. To visualize the behavior of multiple neurons (Figure 4a), we  
501 applied principal component analysis (PCA) on the population vector arrays using  
502 functions from MATLAB's Statistical Toolbox.

503

504 **Stimulus discriminability.** We defined discriminability of neural responses as the  
505 minimum Euclidean distance between two different population vector arrays in response  
506 to distinct sounds. Before calculating distances, each spike rate trace in a population  
507 vector was smoothed with a 30ms rectangular window. Then, we divided the recording  
508 session into two groups (odd vs. even numbered trials) and obtained the distribution of  
509 distances in population vector space built from either the same stimulus or across  
510 different stimuli. To build the ROC curve, we calculated the true and false positive ratio  
511 for discriminating two different stimuli while changing the decision boundary position.

512

### 513 ***Auditory operant training preparation:***

514

515 Here we describe a method for auditory operant training that is useful for training zebra  
516 finches on challenging discriminations with little shaping procedure. The proposed  
517 method uses water reward rather than seed (Picardo et al. 2015). Zebra finches are  
518 adapted to arid conditions and can survive for months in a laboratory setting without  
519 access to water (Cade et al. 1965), yet they remain highly motivated to work for water.  
520 The quantity of water provided in each reward can be as low as 1-5 $\mu$ l. With this reward  
521 quantity, birds work for hundreds or thousands of trials per day.

522

523 **Subjects.** In the operant task, we trained 53 adult (>90 days post-hatch on the first day  
524 of training) male zebra finches (*Taeniopygia guttata*). All birds were housed in the same  
525 aviary room and were experimentally naive at the start of training. Once a bird entered



526 the training cage, he remained in the training cage 24 hours a day until the end of  
527 training period.

528

529 **Food and water.** Dehydrated seed (100-110F° for 12 hours, D-5 Dehydrator, TSM  
530 Products) was supplied every two days (seed is dehydrated the day before it is provided  
531 in the cage). Soft food (ABBA 97 Ultimate nestling food, ABBASEED) was available  
532 once per week. Birds had unlimited access to water on the weekends and every day  
533 access to grit. Birds were not exposed to water deprivation conditions prior to training.  
534 On a single day of training, birds normally initiated around 800-1300 trials (with a  
535 maximum of 4,000 trials in one individual). This corresponded to 300-1000µl of water  
536 consumption during training. We provided additional water (0.5-1ml) after the training if  
537 the total volume of water consumption for two days was less than 1ml. The birds usually  
538 drank 0.5-1ml of water over night when this supplement was provided. In total, through  
539 reward and supplement, the experimental birds received an average of 1-1.5ml of water  
540 every day, a number that corresponds to 50% of normal water consumption for zebra  
541 finch under certain environmental conditions (Cade et al. 1965).

542

543 **Operant Chamber.** In this experiment, 12 identical operant training cages were used.  
544 The training cages (11 inch wide x 8 inch high) were kept inside sound attenuation  
545 chambers (22 inch wide x 14.5 inch high x 16 inch deep, Figure 6 - figure supplement  
546 1). All inside surfaces of the chambers were lined with embossed acoustic foam  
547 (PROSPEC® Composite, Pinta acoustics inc). Inside each training cage, there were two  
548 infrared switches (OPB815WZ, OPTEK Technology): one for trial initiation (called the

549 trial port) and one for water reward (called the water port). The water reservoir was  
550 located 24 inches above the cage floor and the water valve (EW-01540-02, Cole-  
551 Parmer) was placed between the reservoir and spout. The water spout was located in  
552 the middle of the infrared switch assembly (water port) so that whenever the bird  
553 accessed the water spout, he broke the infrared beam automatically. We used two  
554 different sizes of incompressible plastic tubes to make water flow slow enough for a  
555 proper drop size (1-5 $\mu$ l). An illustration of the tubing is shown in Figure 6 - figure  
556 supplement 1. The sound stimulus was presented through the same tweeter used for  
557 the electrophysiology study (PLWT4, Pyle Audio). A microprocessor dedicated to each  
558 cage (Arduino Mega 2560, Arduino) controlled stimulus presentation, water delivery,  
559 and infrared switches. Individual clicks generated by the Arduino microprocessor were  
560 100 $\mu$ s long rectangular pulses. Using this microprocessor, the mean jitter in the click  
561 interval was 93 $\mu$ s (data is not shown). Every time the bird tried a new trial, data from the  
562 previous trial was transmitted by ethernet to a central data processing computer in the  
563 lab and analyzed in real time by a custom made Matlab program (Mathworks, Natick  
564 MA, USA). Training ran for five hours per day from Tuesday to Friday each week. The  
565 behavior of all birds was monitored through USB webcams in each chamber (Webcam  
566 Pro 9000, Logitech).

567

### 568 ***Auditory operant training Procedure:***

569 In this procedure, a bird can demonstrate learning through two behaviors – by  
570 interrupting ongoing playback of a non-rewarding stimulus to reset the trial, or by  
571 accessing the water port selectively for rewarding stimuli. We trained birds with two

572 different methods: a two- stage method and a single-stage method. In all experiments,  
573 two sounds were presented – a rewarded stimulus (click sequence 2) and a non-  
574 rewarded stimulus (click sequence 1 or 9).

575

576 ***Training procedure during stage 1 of two-stage training.*** This training starts with  
577 only one infrared switch (for trial initiation, on the left side of the cage, Figure 6 - figure  
578 supplement 1). The bird can start a new trial or interrupt playback of the stimulus by  
579 breaking the infrared beam any time 200ms after the start of the stimulus playback. The  
580 water spout is on the right side of the cage and water reward is passively given at the  
581 end of the rewarded stimuli, which constitutes 20% of total trials. In this setup, the bird  
582 learns to be “impatient” and interrupt stimuli that are not followed by reward. In a sense,  
583 the bird is “foraging” for a low-probability rewarded sound. On each day of training, we  
584 monitored the latency of trial initiations to two different sequences. During the first one  
585 or two days, birds simply explored the training environment and explored the trial port  
586 randomly. Gradually, birds realized the existence of passive water reward and started to  
587 reinitiate trials earlier on non-rewarding trials than rewarding trials (right middle panel of  
588 Figure 6 - figure supplement 2a, and 2d. Note the bump of red curve around 5-6sec). In  
589 1-2 weeks of training, birds could re-initiate trials only for non-rewarded trials, and wait  
590 for water reward on the rewarded trials.

591

592 ***Training procedure during stage 2 of two-stage training.*** Once birds showed  
593 significant learning in stage 1, another infrared switch was activated on the water  
594 delivery port. Water was no longer delivered passively, but only if the water port was

595 accessed during or just after the playback of the rewarding stimulus. This period during  
596 which reward port access leads to water is called the “response time-window.” This  
597 window was 7 seconds long from the end of a sequence. If the water port was accessed  
598 at any time during non-rewarding trials, or outside of the 7 second response window, a  
599 10 second time-out period ensued, during which the green LED was turned off.  
600 Introducing another infrared switch in this stage did not alter the trial reset behavior that  
601 was acquired in the first stage of training (Figure 6 – figure supplement 2c,2f).

602

603 ***Training procedure for single-stage training.*** In single-stage training, the bird starts  
604 training with both infrared switch-contingencies active from the beginning. However, to  
605 jumpstart the process, water was also delivered passively at the end of the rewarded  
606 stimulus if the bird did not access the water port during playback of the rewarded  
607 stimulus. Once the bird learned to initiate trials and encounter water at the water port  
608 location, the passive water delivery was shut off. Other than this brief passive delivery  
609 period this method involved no shaping or staging. Birds learned strategies for the use  
610 of both infrared switches through exploration (re-initiating trials within 3sec when the  
611 non-rewarded pattern was presented and accessing the water port during playback of  
612 the rewarding stimulus, Figure 6a).

613

614 ***Operant task behavior evaluation.*** We used a d-prime measure to estimate the  
615 progress of learning:

616 
$$d' = z(H) - z(F) \quad (2)$$

617 where H is the proportion of correct responses (hit rate) and F is the proportion of  
618 incorrect responses (false alarm rate) (Green & Swets 1966).

619

### 620 **Author Contributions**

621 YL, BSC and TJG designed the study. YL and RL collected data. YL analyzed the data.  
622 YL and TJG wrote the paper.

623

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632 the analysis and manuscript.

633

### 634 **Competing interests**

635 None of the authors have any conflicts of interest.

636

637

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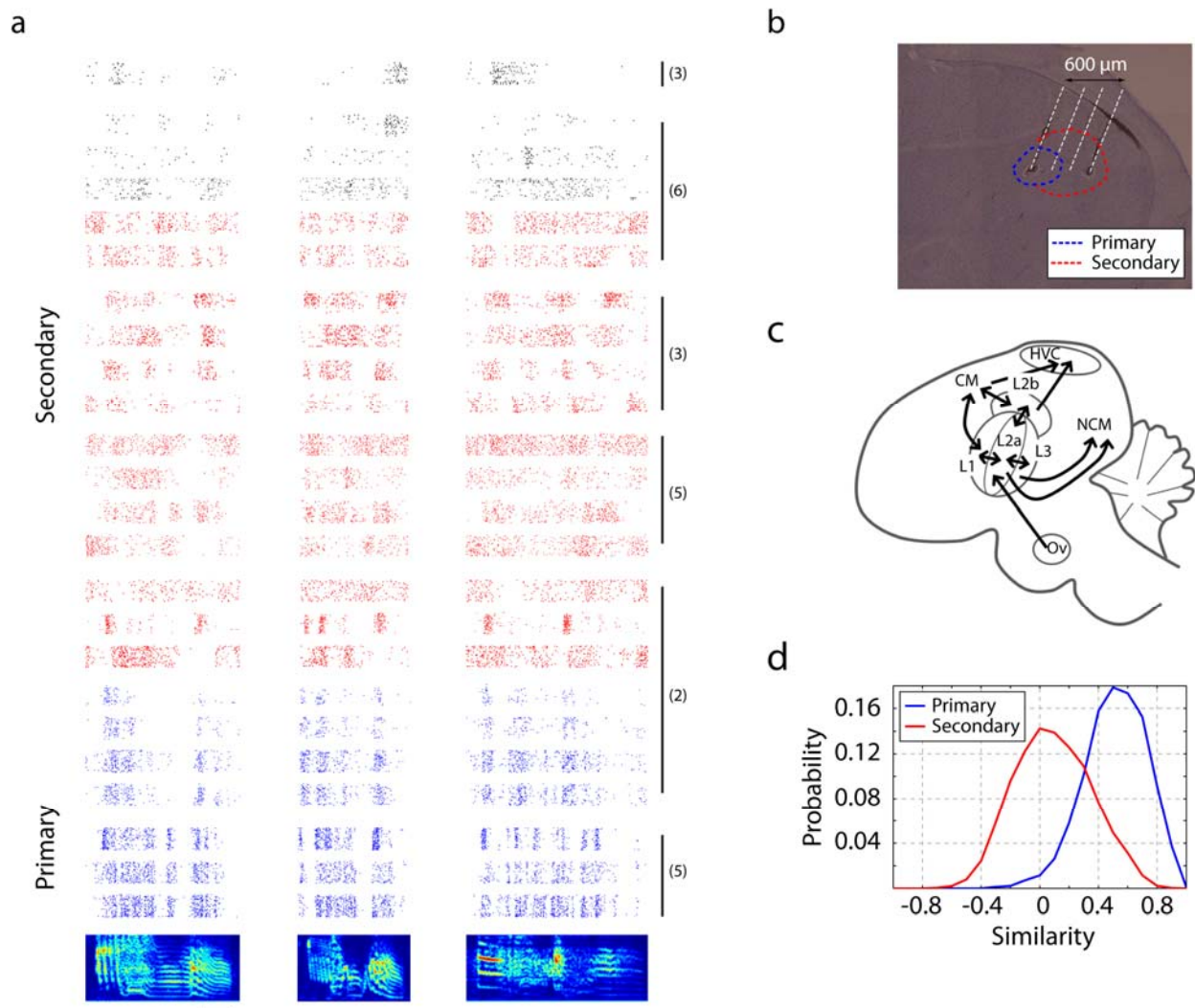
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772 **Figures**



773

774 **Figure 1. Neural responses in primary and secondary auditory areas to**  
 775 **birdsongs.**

776 **(a)** Example of neural responses in primary (blue) and secondary auditory areas (red  
 777 and black) to birdsongs. Syllable responses were extracted from playback of whole  
 778 songs. Individual cells in this figure were recorded in different birds. Numbers on the  
 779 right correspond to the bird indices shown in Figure 1 – figure supplement 1. Cells in the  
 780 primary auditory area, L2a, respond more synchronously than cells in the secondary  
 781 area. Red and black colors in the raster denote two classes of cells in secondary

782 auditory areas defined by spike-width (For red, spike width is less than 250  $\mu$ s and for  
783 black, greater than 250  $\mu$ s). The scale bar is 50ms.

784 **(b)** Sagittal section located at 1.5mm lateral of the midline with estimated electrode  
785 shank positions (dotted white line). Physiological locations are confirmed by the  
786 anatomy (Figure 1 - figure supplement 1).

787 **(c)** Schematic of a sagittal section of male zebra finch brain.

788 **(d)** Response similarity scores between all pairs of cells in the secondary auditory area  
789 are lower than similarity scores in the primary auditory area (Secondary auditory  
790 responses to song are more diverse across neurons).

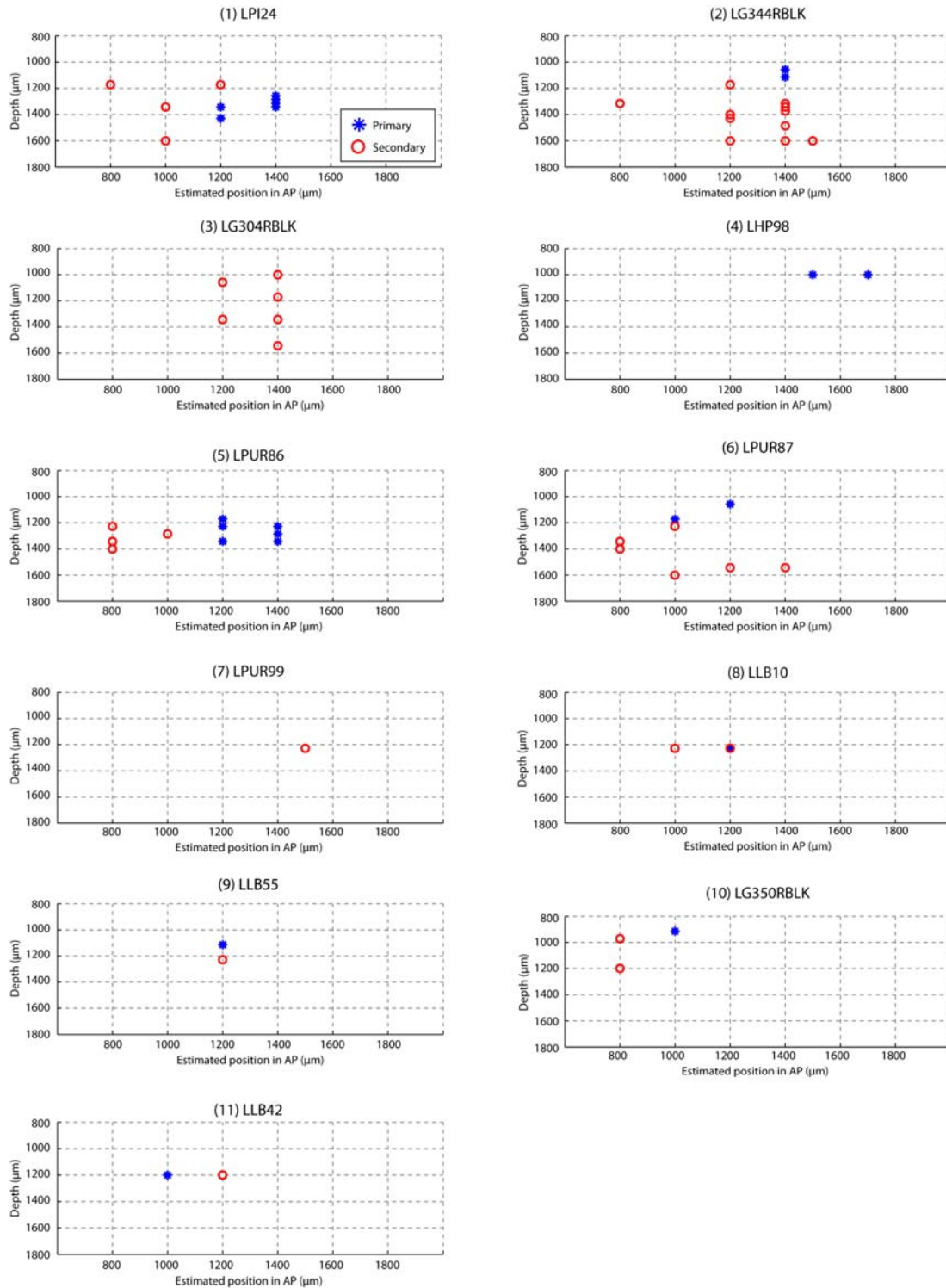
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792 The following figure supplements are available for Figure 1:

793 **Figure 1 - figure supplement 1.** Estimated recording location of units in primary and  
794 secondary auditory areas.

795 **Figure 1 - figure supplement 2.** Song syllable discriminability analysis.

796 **Figure 1 - figure supplement 3.** PSTH of song responses



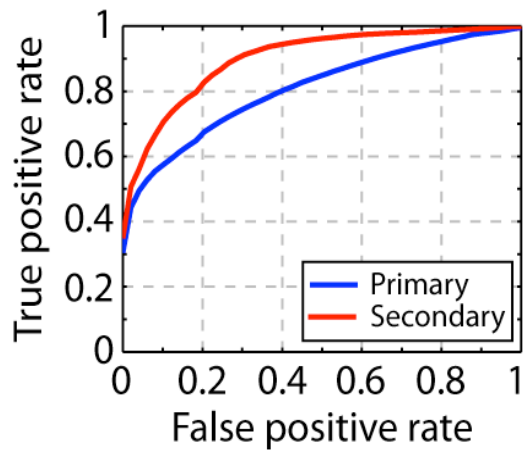
797

798 **Figure 1 - figure supplement 1. Estimated recording location of units.**

799 Cells were colored by their classification as primary or secondary cells based on

800 response latency and similarity scores (Figure 1d, 3b). This figure shows that for each

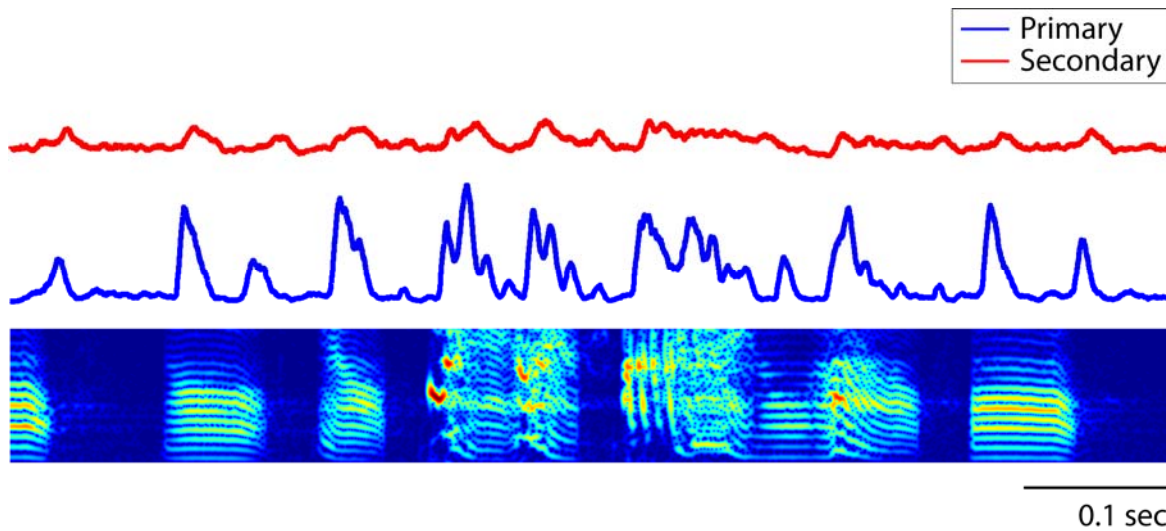
801 bird the primary and secondary cells were spatially separable, providing independent  
802 confirmation that the classification as primary and secondary cortical neurons was  
803 accurate. On each graph, estimated spatial positions of primary (blue star) and  
804 secondary (red circles) units are shown. Positions were approximated based on the  
805 configuration of electrode and recording coordinates.

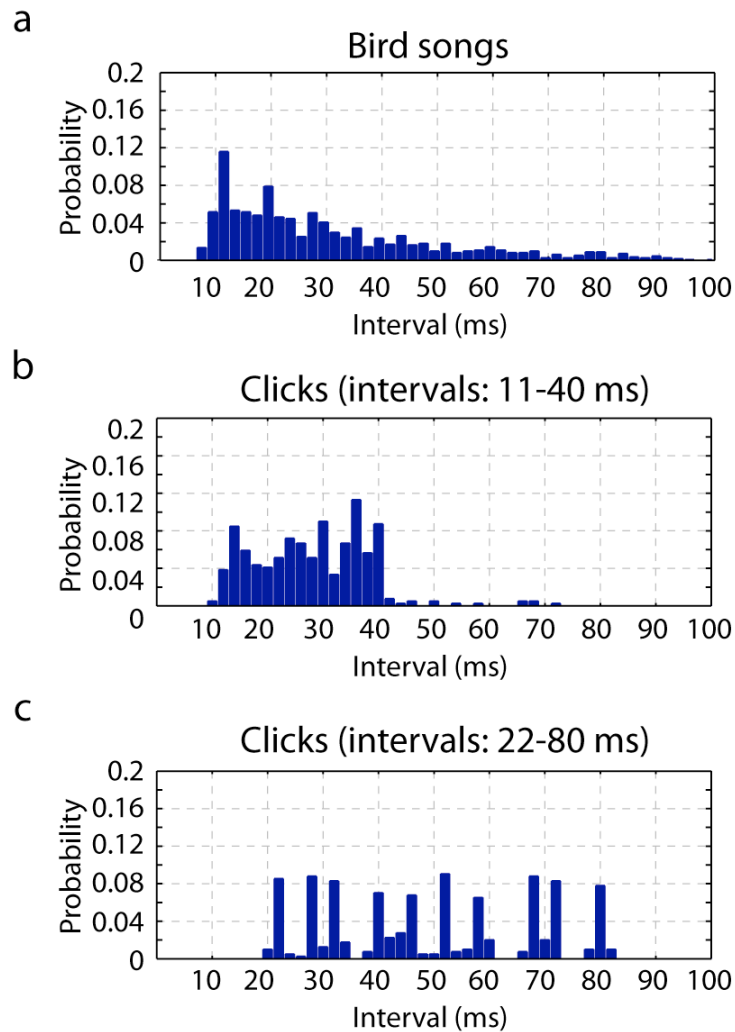


806 **Figure 1 - figure supplement 2. Song syllable discriminability analysis.**

807 ROC analysis shows increased discriminability of song syllables in secondary auditory  
808 areas, L2b and L3, relative to primary auditory area, L2a (n=13 syllables).

809



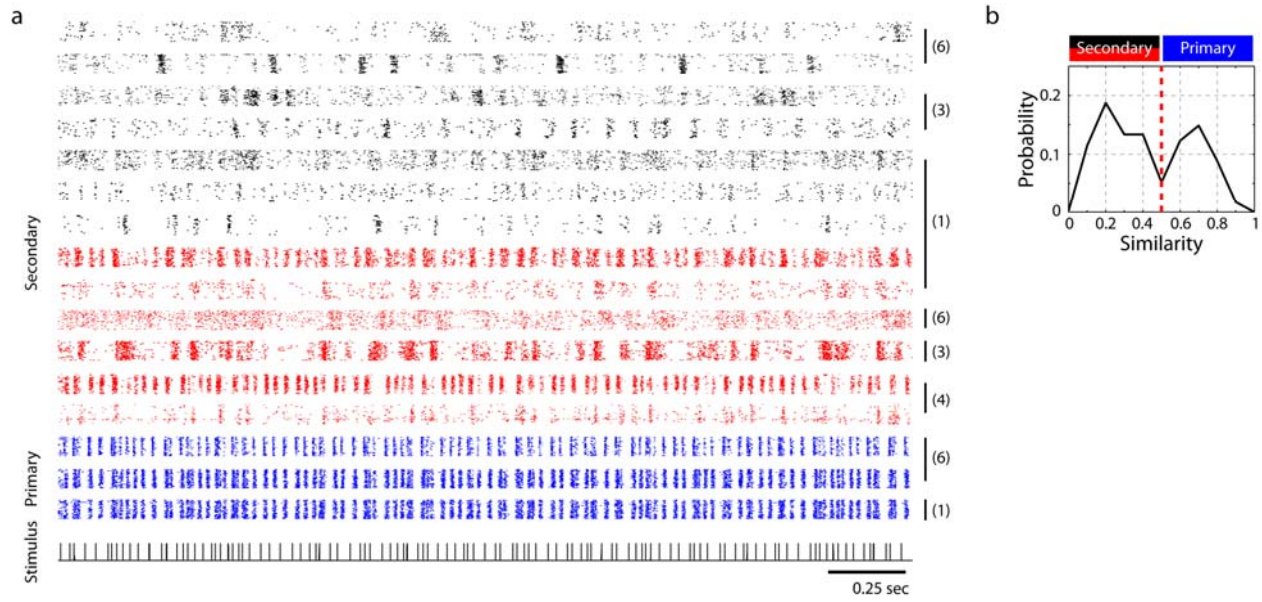


816 **Figure 2. Timescales of neural responses in the primary auditory area, L2a.**

817 **(a)** Interval histogram of peaks in the PSTH of neurons in the primary auditory area,  
 818 L2a, in response to bird songs. The population PSTH contains intervals distributed from  
 819 10-40ms.

820 **(b,c)** Interval histogram of peaks in the PSTH of neurons in the primary auditory area,  
 821 L2a, in response to click sequences. For the click patterns, we applied two different  
 822 timescales for the click intervals. In the first timescale, the click sequence evokes PSTH  
 823 intervals in the range of 10-40ms. The slower set of stimuli evokes PSTH intervals in the  
 824 range of 20-80ms.





825  
 826 **Figure 3. Neural responses to click sequences in primary and secondary auditory**  
 827 **areas.**

828 **(a)** Example of neural responses in primary and secondary auditory areas. Units from  
 829 individual birds are grouped (black vertical bars and corresponding bird indices are  
 830 shown on the right of the rasters). Red and black rasters mark two classes of cells in  
 831 secondary auditory areas defined by spike-width (For red, spike width is less than  
 832 250 μs and for black, greater than 250 μs). Blue rasters are cells in the primary auditory  
 833 area, L2a.

834 **(b)** Histogram of cross correlation scores between the click stimulus and the PSTH  
 835 response. The discrimination line between two peaks (at 0.5 similarity score) also  
 836 segregates cells spatially (Figure 1 - figure supplement 1), confirming the classification  
 837 of neurons as residing in spatially separated areas – either L2a, or L3/L2b.

838  
 839 The following figure supplements are available for Figure 3:

840 **Figure 3 - figure supplement 1.** All click sequences used for neural recordings and  
841 operant training.

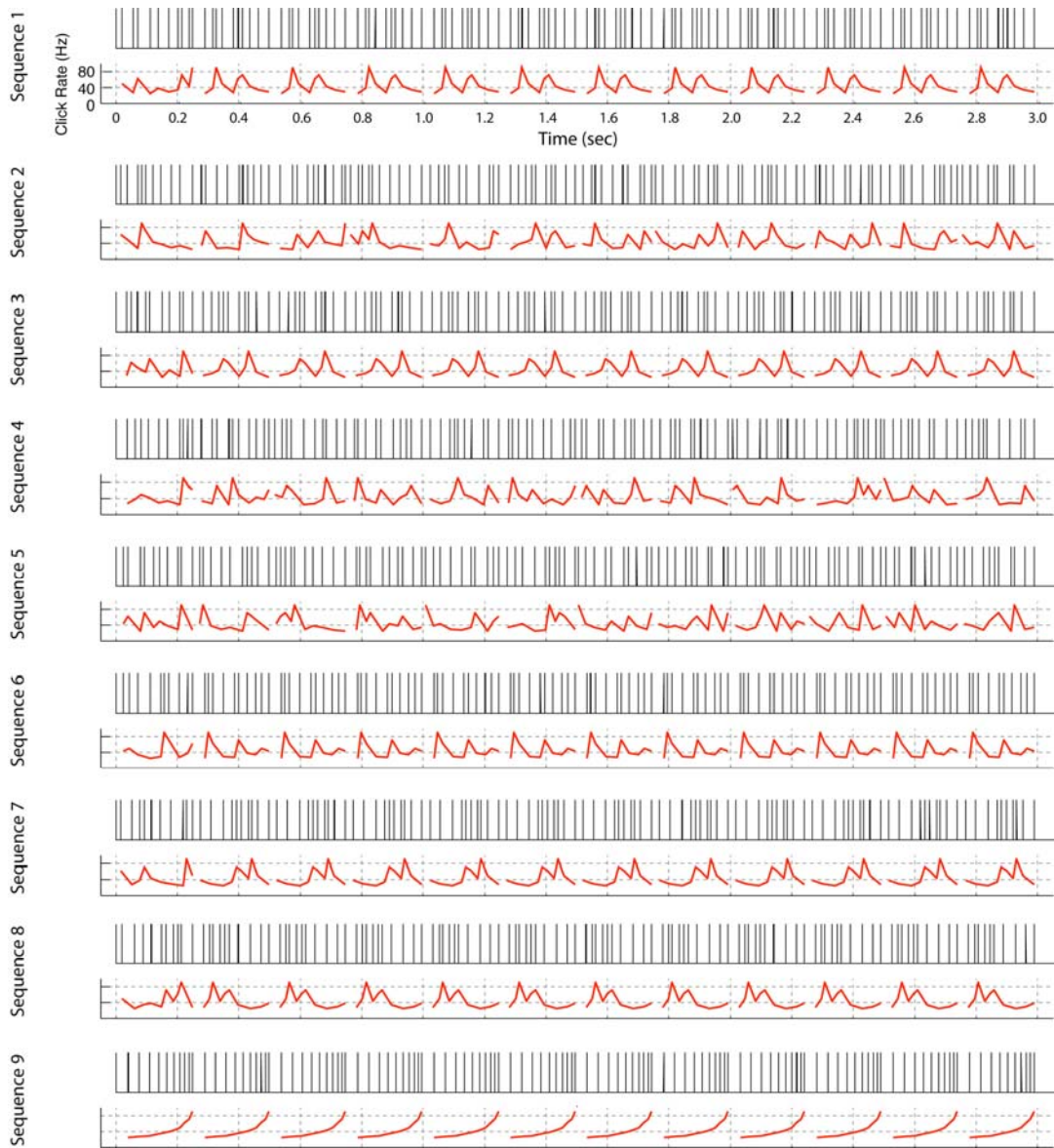
842 **Figure 3 - figure supplement 2.** Combined single and multi-unit responses to click  
843 sequence 1 and sequence 2

844 **Figure 3 – figure supplement 3.** Example of spike waveforms and their corresponding  
845 click responses.

846 **Figure 3 – figure supplement 4.** PSTH of neurons in response to click sequence.

847 **Figure 3 – figure supplement 5.** Latency of neural responses to click sequences in the  
848 primary auditory area, L2a.

849



850

851 **Figure 3 - figure supplement 1. All click sequences used for neural recordings**  
 852 **and operant training.**

853 Click sequences were repeating or non-repeating temporal patterns. Each temporal  
 854 pattern is 249ms long and the total length of the sequence is 3sec. For sequence  
 855 1,3,6,7,8, and 9, a single fixed temporal pattern repeats 11 times; the other sequences  
 856 are composed with 11 different non repeating patterns. We also built some sequences  
 857 in reverse order (Seq. 1 vs Seq. 3, Seq. 2 vs Seq. 4, Seq. 7 vs Seq. 8). Sequences 1–8

858 were used for neural recording and sequences 1, 2, and 9 were used for the operant  
859 training experiment. An audio file for each click sequence is provided (Supplementary  
860 file 1).

861

Sequence 1

Sequence 2

Secondary

Sequence 1 (Secondary) contains approximately 15 lines of text. The first line is a title, followed by a paragraph. The text is interspersed with asterisks (\*). The text is mostly black, with some red segments. At the bottom of the page, there is a barcode and the text "0.5 sec".

Primary

0.5 sec

(7)  
(6)  
(3)  
(1)  
(7)  
(6)  
(5)  
(4)  
(3)  
(2)  
(1)  
(6)  
(5)  
(1)  
(2)

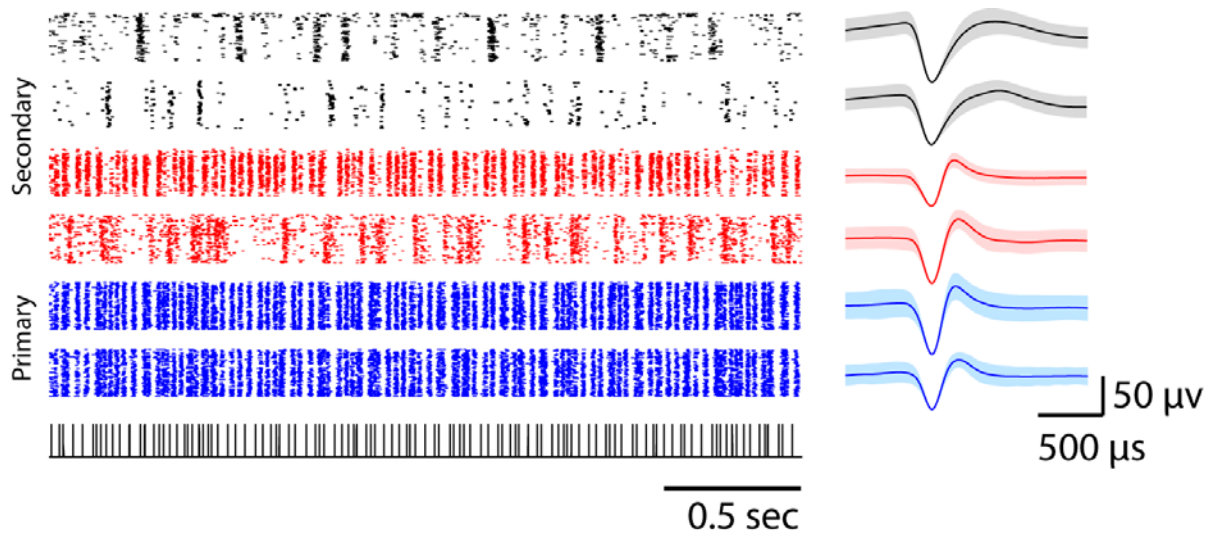
Sequence 2 (Primary) contains approximately 15 lines of text. The first line is a title, followed by a paragraph. The text is interspersed with asterisks (\*). The text is mostly black, with some red segments. At the bottom of the page, there is a barcode and the text "0.5 sec".

0.5 sec

863 **Figure 3 - figure supplement 2. Combined single and multi-unit responses to**  
864 **sequence 1 and sequence 2.**

865 Responses in the primary auditory area, L2a, are shown in blue and in secondary  
866 areas, L2b/L3 are shown in red and black. Multi-unit responses as opposed to single  
867 units are indicated by asterisk marks on the left. Responses from a single bird are  
868 grouped by a black vertical bar with the corresponding bird index on the right. Two  
869 different classes of neurons in the secondary auditory areas (red and black rasters) are  
870 classified based on the peak-to-peak width of spike waveform following the conventions  
871 of Figure 3a.

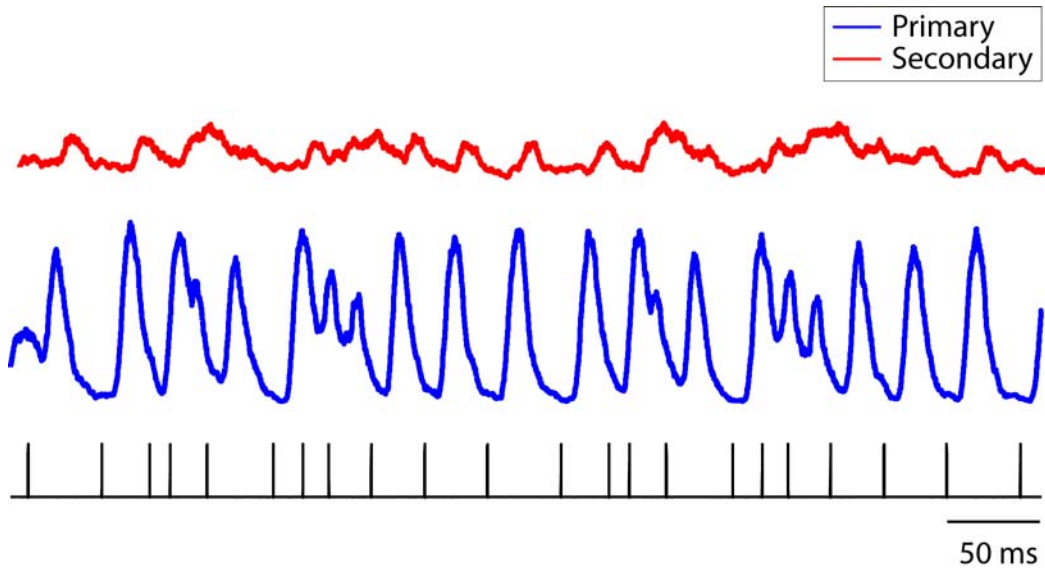
872



873

874 **Figure 3 – figure supplement 3. Example spike waveforms corresponding click**  
 875 **responses shown in raster form.**

876 Each row of the raster plot represents single unit responses to a click sequence  
 877 (sequence 2); the corresponding spike waveform is shown on the right. The shaded  
 878 error bars represent the standard deviation of waveforms. Primary L2a neurons are  
 879 shown in blue. Narrow and broad spiking units in L2b or L3 are shown in red and black  
 880 respectively.



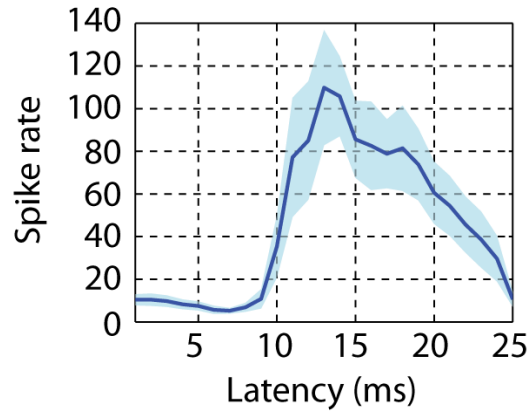
881

882 **Figure 3 – figure supplement 4. Population PSTH of neurons in response to click**  
883 **sequences.**

884 The combined population PSTH of neurons in the primary auditory area, L2a, is deeply  
885 modulated, a result of synchronous responses to the click sequence (blue trace, bin  
886 size: 5ms). The combined population PSTH of neurons in secondary areas (L2b and L3)  
887 is shown in red. The bottom tick marks show the waveform of the click stimulus (click  
888 sequence 1).

889



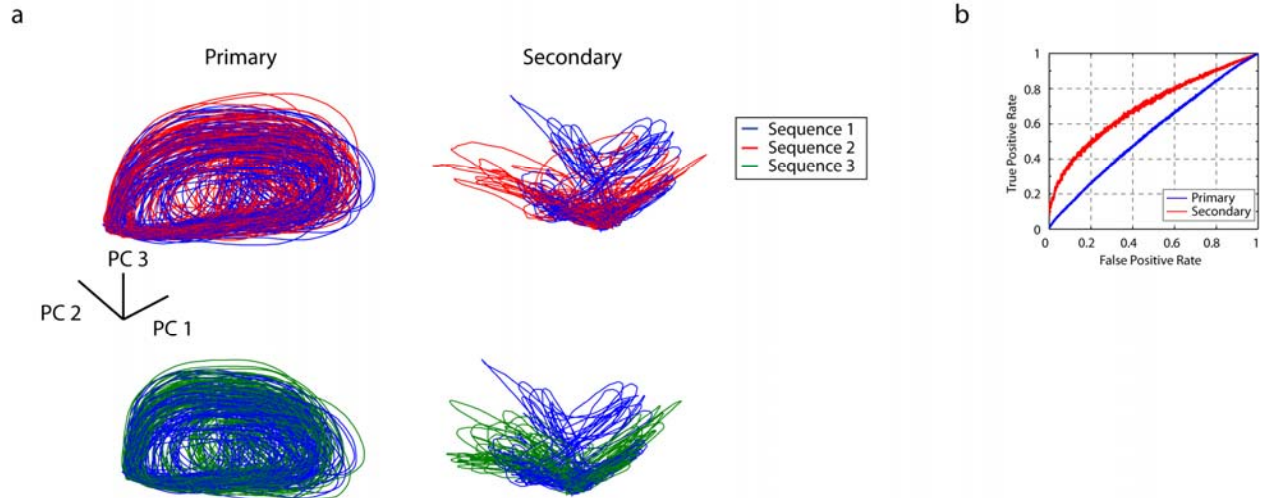


890

891 **Figure 3 – figure supplement 5. Latency of neural responses to click sequences in**  
892 **primary auditory area, L2a.**

893 To calculate the latency in the primary auditory area, a click triggered histogram of  
894 single unit responses is generated. The origin of this plot corresponds to the onset time  
895 of each click. The solid line represents the mean latency histogram and the shaded  
896 error bar is standard deviation of latency.

897



898

899 **Figure 4. Temporal sequences are transformed to distinct population vectors in**  
 900 **secondary auditory areas, L2b and L3.**

901 **(a)** For different stimuli, ensemble state-space trajectories are discriminable in  
 902 secondary auditory areas but not in the primary auditory area, L2a. For each trace, the  
 903 bin size for the ensemble state space was 5ms. Each trace is smoothed by rectangular  
 904 windows (10ms) for visualization.

905 **(b)** ROC analysis reveals enhanced discriminability of click sequences in secondary  
 906 auditory areas, L2b and L3, relative to primary auditory area, L2a.

907

908 The following source data and figure supplements are available for Figure 4:

909 **Figure 4 – Source data 1.** Source data for ROC curve

910 **Figure 4 – figure supplement 1.** Short click sequence discriminability analysis

911

912 **Figure 4 – Source data 1.** Source data for ROC curve

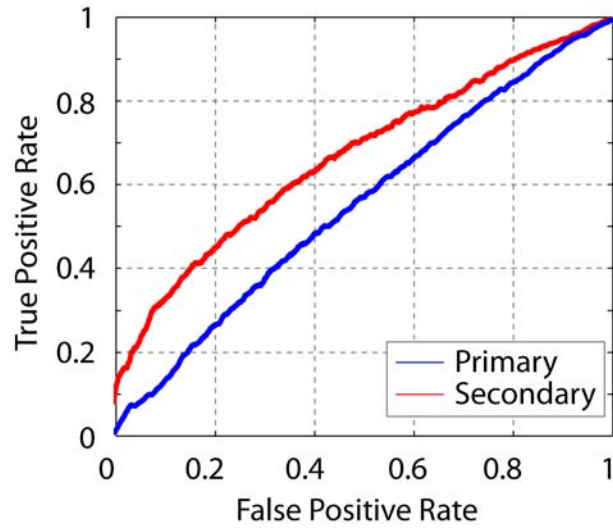
913 This zip file contains spike timing data used for the ROC analysis shown in Figure 4b.

914 Spike times of 10 different cells recorded in primary or secondary auditory areas are

915 included in folders with corresponding names. For simple visualization of spike rasters,

916 Matlab source code (DataLoad.m) is also provided.

917

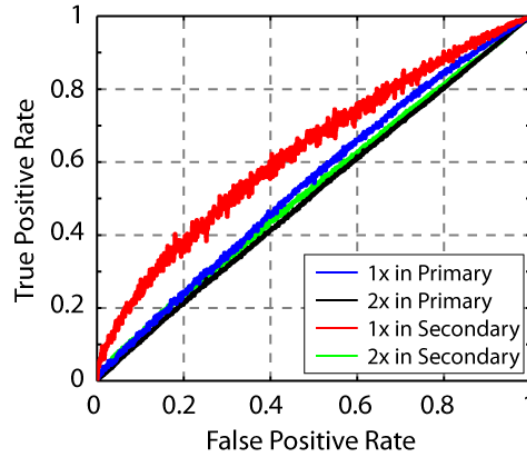


918

919 **Figure 4 – figure supplement 1. Short click sequence discriminability analysis.**

920 ROC analysis shows that the sequence discriminability in secondary auditory areas is

921 maintained even when considering only the first 500ms of the neural response.



922

923 **Figure 5. Neural sequence discriminability depends on the timescale of the click**  
 924 **sequence.**

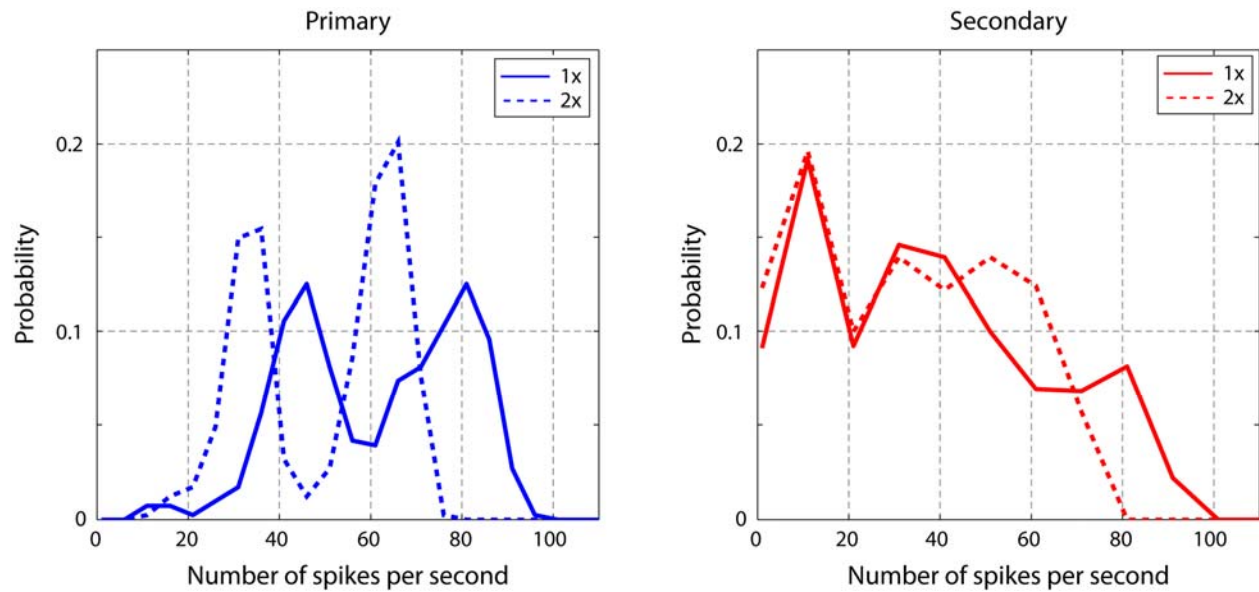
925 ROC analysis reveals that the discriminability of the click sequences is constrained by  
 926 the interval distribution of the click stimuli. When the sequence is slowed by a factor of  
 927 two, the discriminability of click sequences is lost in the secondary auditory area (shown  
 928 in green).

929

930 The following figure supplements are available for Figure 5:

931 **Figure 5 – figure supplement 1.** Spike rate of cells in response to click sequences with  
 932 different timescales

933



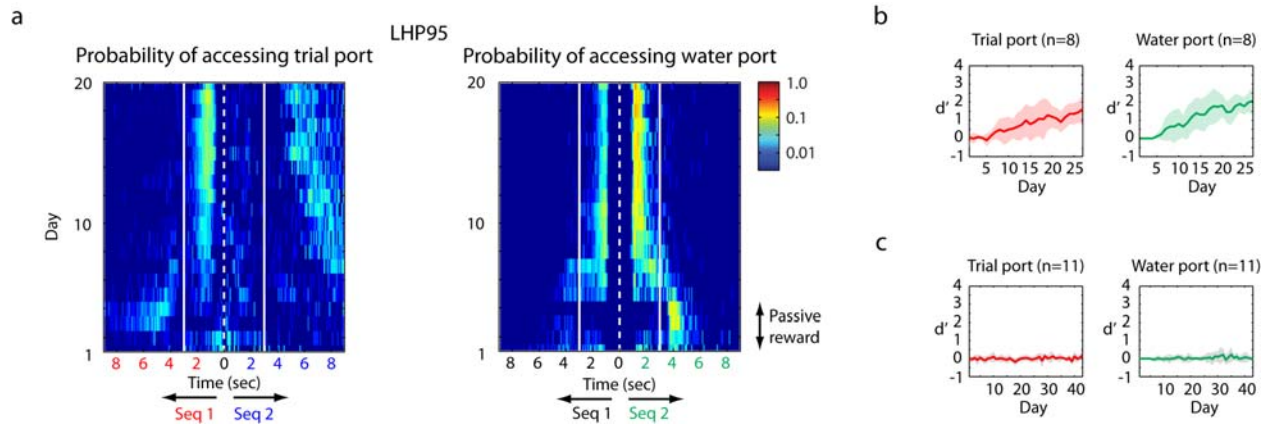
934

935 **Figure 5 – figure supplement 1. Spike rate of cells in response to click sequences**  
 936 **with different timescales.**

937 Slower click sequences evoke a lower spike rate in primary and secondary auditory  
 938 areas. For the secondary auditory areas, this reduction in spike rate is relatively small.

939 This analysis was based on data used in Figure 5.

940



941

942 **Figure 6. Operant training with click sequences.**

943 **(a)** Example of training by the single-stage behavioral shaping method. The probability  
 944 distribution of accessing trial port and water port is illustrated in log scale. The white  
 945 dotted line represents the start of sequence playback and white solid line is the  
 946 termination time of the stimulus (We show two stimuli back to back with mirrored time  
 947 axes. Asymmetry in this image between the solid lines indicates learning). Over the  
 948 course of training, this bird started to interrupt playback of the non-rewarding sequence  
 949 by accessing the trial port before sequence 1 (the unrewarded sequence) stopped  
 950 playing. The bird also learned to access the water port selectively during the playback of  
 951 the rewarded sequence (sequence 2).

952 **(b)** Learning curve for birds exposed to the single-stage training method (n=8 birds).  
 953 With the single-stage training method, most birds start to show differentiated responses  
 954 ( $d'$  is around 1) after two weeks of training; that is, they interrupt and reset sequence 1  
 955 playback and access the water port for sequence 2 playback.

956 **(c)** When the click intervals are slowed by a factor of two, all trained birds (n=11 in the  
 957 single-stage method) were unable to discriminate the temporal sequences;  $d'$  is around  
 958 0.

959

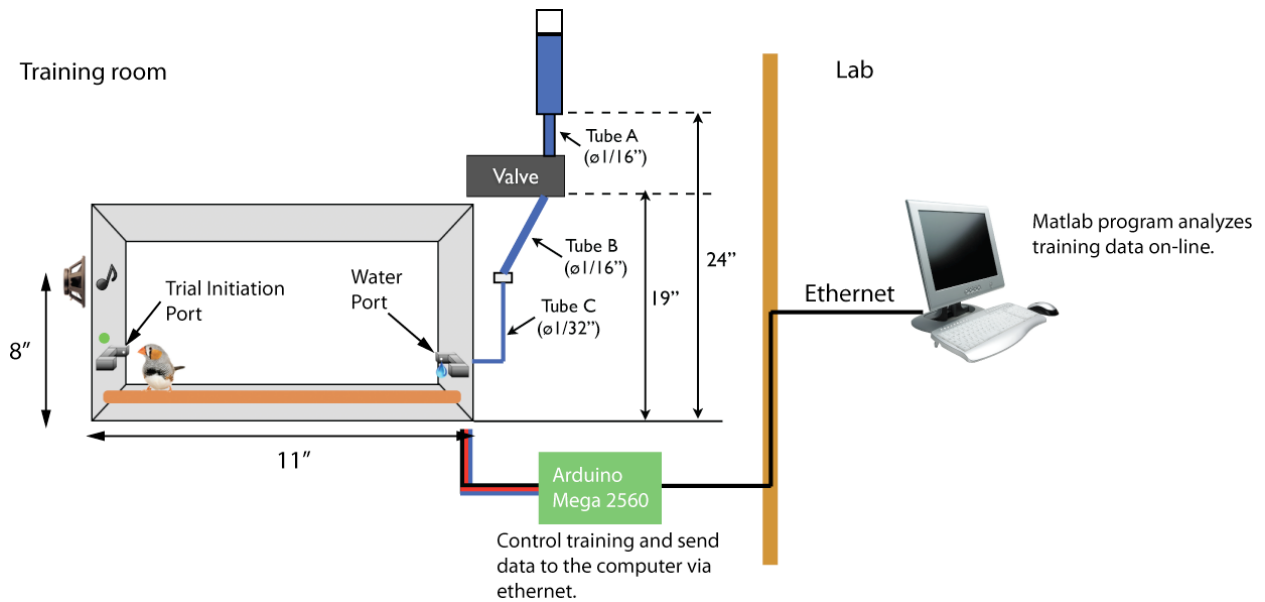
960 The following figure supplements and source data are available for Figure 6:

961 **Figure 6 - figure supplement 1.** Operant training setup.

962 **Figure 6 - figure supplement 2.** Result of operant training.

963 **Figure 6 – source data 1.** Summary of training.

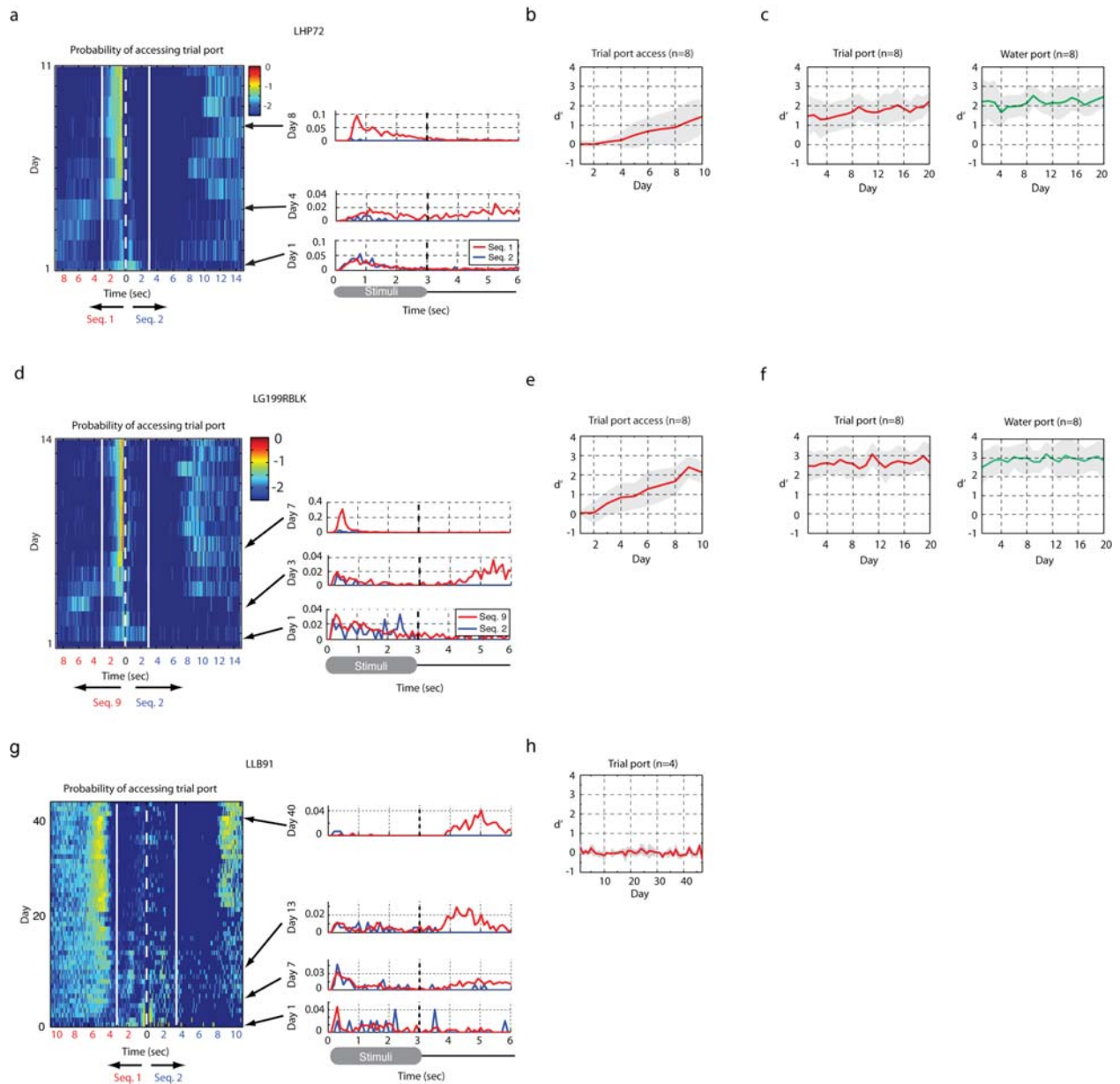




964 **Figure 6 - figure supplement 1. Operant training setup.**

965 There are two infrared switches, a green LED (trial indicator), and a water spout in the  
 966 training cage. An Arduino microprocessor monitors the timing of port access, plays stimuli,  
 967 and delivers water rewards. The water reservoir is located 24 inches above the floor of  
 968 cage. The water valve is opened for a fixed duration, just long enough to produce a drop of  
 969 water that is consistently 1-5 $\mu$ l in volume. During operant training, data collected by the  
 970 Arduino is sent to another computer over Ethernet and analyzed in real-time.

971



972

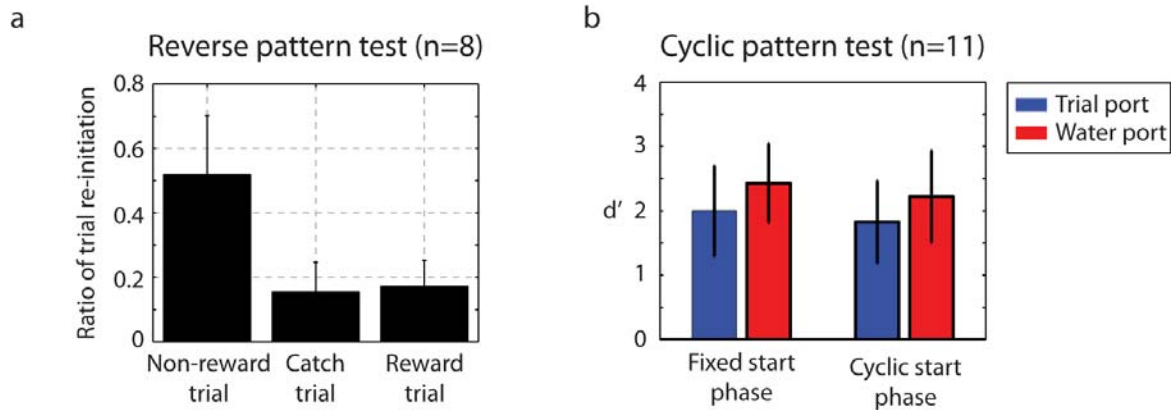
973 **Figure 6 - figure supplement 2. Result of operant training.**

974 **(a,b,c)** Two-stage training, example of a bird learning sequence 1. **(a)** The probability  
 975 distribution of the bird accessing the trial port is shown for the entire training period of the  
 976 first stage of training (left). The white dotted line represents the start of sequence playback  
 977 and the white solid line shows the termination time of the stimulus. Any asymmetry between  
 978 the dotted and solid lines indicates learning (asymmetry implies different behaviors for  
 979 rewarded and non-rewarded sequences). This bird started to interrupt non-rewarding trials

980 around day 5. Individual rows (specific days in panel (a)) are plotted to the right to illustrate  
981 detail. **(b)** Learning curve for the first stage. Mean d-prime ( $\pm$  s.d.) after ten days of training  
982 is shown (n=8 birds). **(c)** Learning curve of training after passive reward is switched off (the  
983 second stage of training). This transition resulted in a minimal change in behavior.  
984 **(d,e,f)** Example of two-stage training for another bird learning a distinct sequence  
985 (sequence 9). **(d)** The probability of accessing the trial port during the first stage of training  
986 (left) and three sample days (right). **(e)** Learning curve at the first stage (n=8 birds). **(f)**  
987 Learning curve at the second stage (n=8 birds).  
988 **(g,h)** Example of two-stage training for a sequence whose intervals were slowed by a factor  
989 of two. **(g)** Probability distribution of accessing the trial port during the first stage of training.  
990 This bird usually reinitiated trials immediately after the presentation of the click sequence or  
991 after drinking water for rewarded trials (note the increased probability of accessing trial port  
992 around 10sec). The absence of asymmetry between the dotted and solid lines indicates an  
993 absence of learning. **(h)** Learning curve during the first stage of training. No birds (n=4 birds  
994 in two-stage training) learned to discriminate the slowed click sequences over the course of  
995 40 days of training.  
996

997 **Figure 6 – source data 1. Summary of training.**

998 The success of operant training was determined based on the d-prime score. When d' is  
999 over 1, the bird was deemed successful in learning the task. In this table, the number of  
1000 birds that succeeded in operant training for click sequence discrimination ( $d' > 1$ ) out of  
1001 total number of birds is shown. For example, 8 out of 10 birds succeeded in two-stage  
1002 training to discriminate sequence 9 and 2.

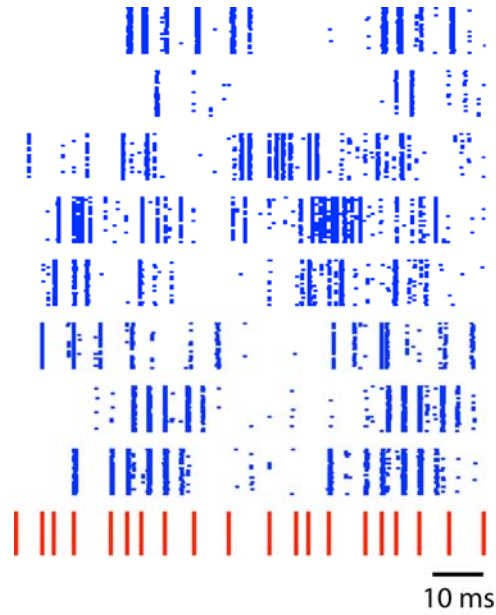


1003

1004 **Figure 7. Catch trial analysis.**

1005 **(a)** During catch trial analysis, for 10% of non-rewarding trials, we presented reverse  
 1006 patterns to eight birds. The birds did not show any recognition of the reverse pattern  
 1007 (catch trials). Only the familiar non-rewarded sequence led to the adaptive behavior of  
 1008 resetting playback. Mean  $\pm$  s.d. of trial interruption ratio is shown.

1009 **(b)** In this cyclic permutation catch trial analysis, playback of the click sequence started  
 1010 at a random interval in the repeating sequence on each trial (a phase shift in the  
 1011 stimulus order); all birds (n = 11) maintained performance. This indicates that  
 1012 discriminations were based on patterns of intervals regardless of the absolute time of  
 1013 any specific click relative to trial onset.



1014

1015 **Figure 8. Illustration: sequence selective responses in a critically tuned linear**  
 1016 **dynamical system.**

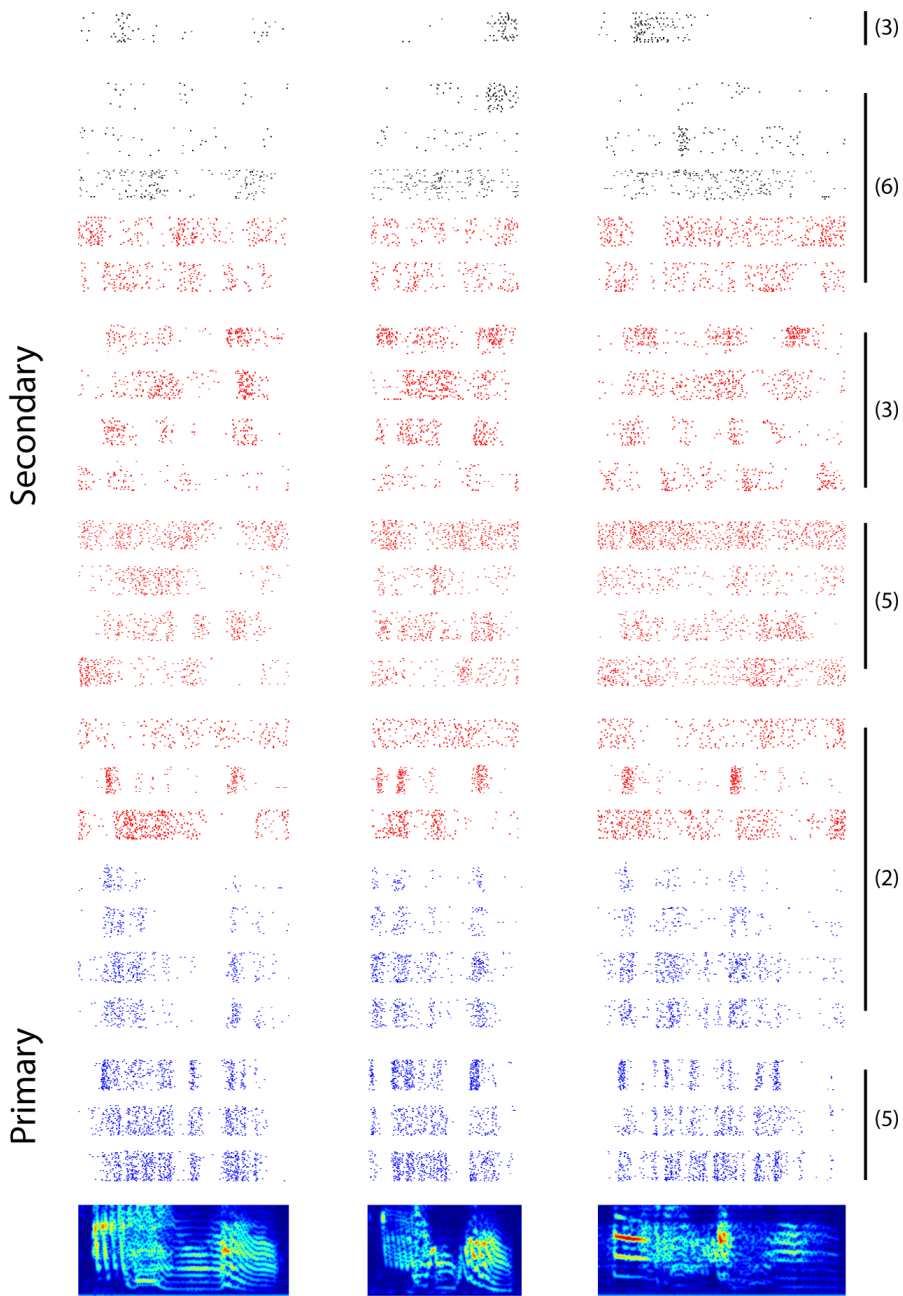
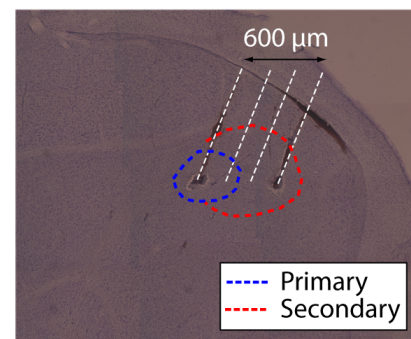
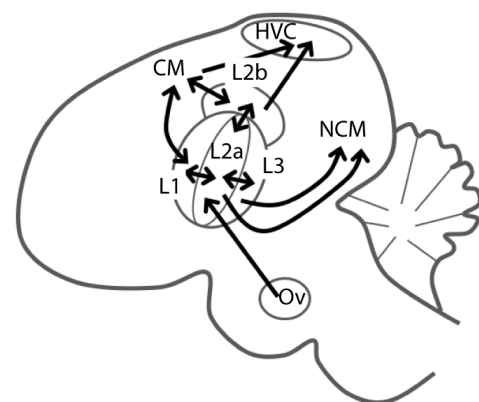
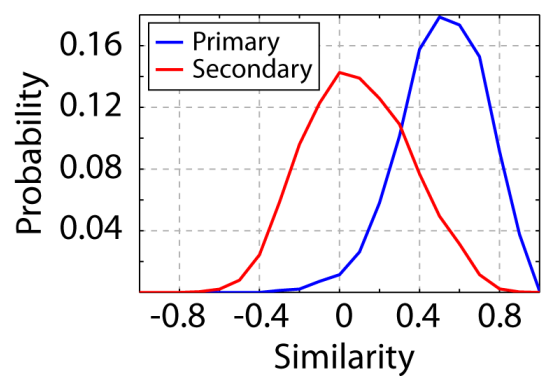
1017 Each blue row represents simulated neural responses of a simple linear model. The  
 1018 input stimulus (red) has a temporal pattern similar to the click sequences used in this  
 1019 study. This toy model illustrates a temporal to spatial transformation arising from simple  
 1020 linear dynamics in a recurrent system.

1021 **Supplementary file 1. Click sequence audio files.**

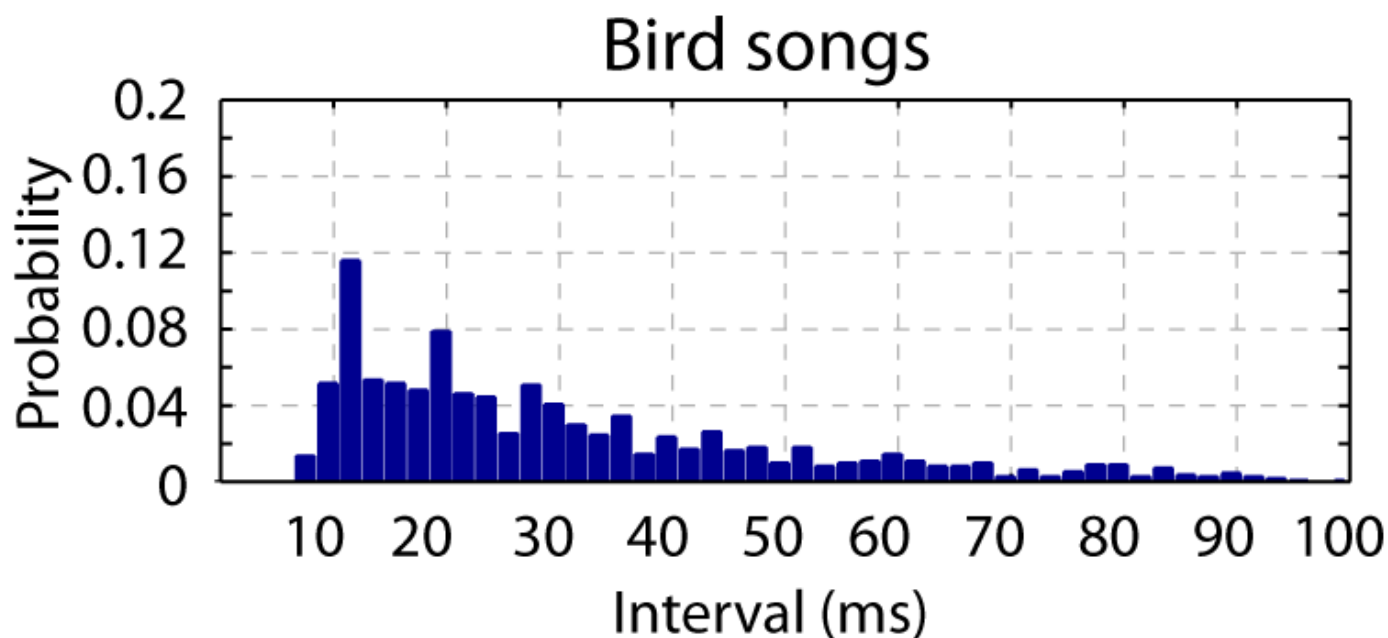
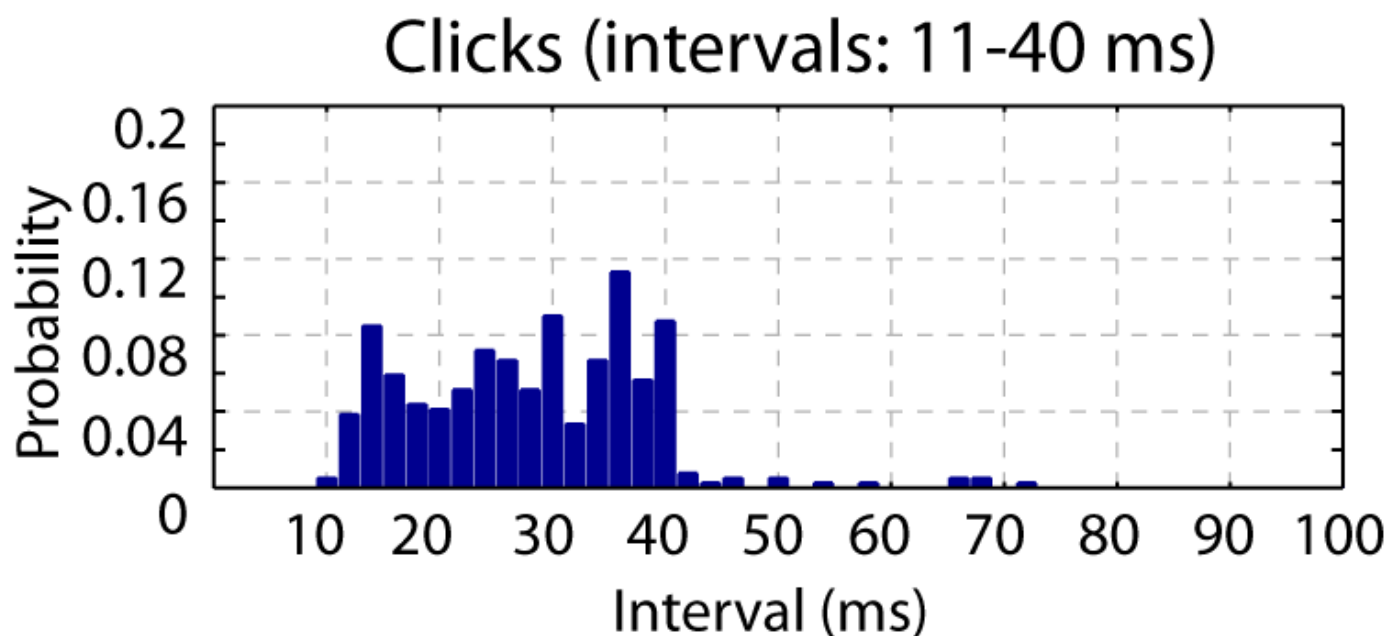
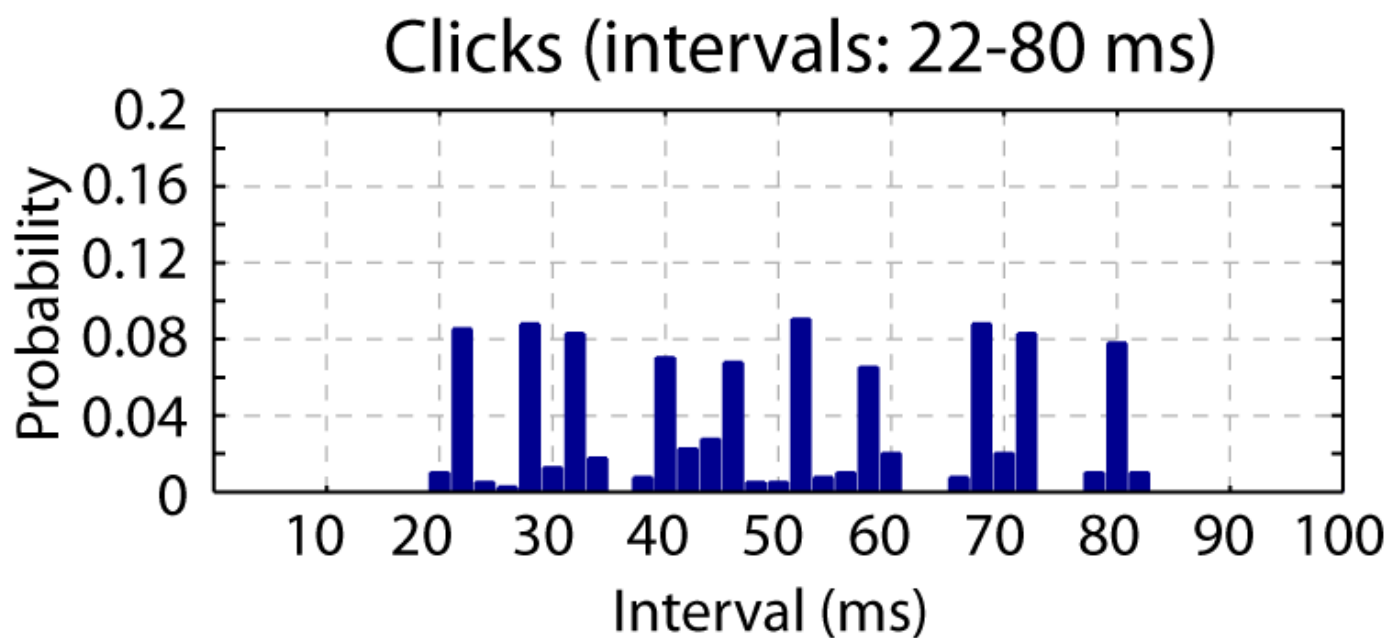
1022 We provide audio files of all the click sequences used in this study in wav format. The

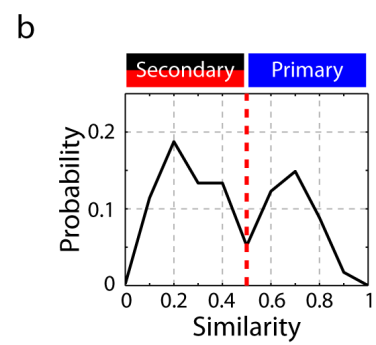
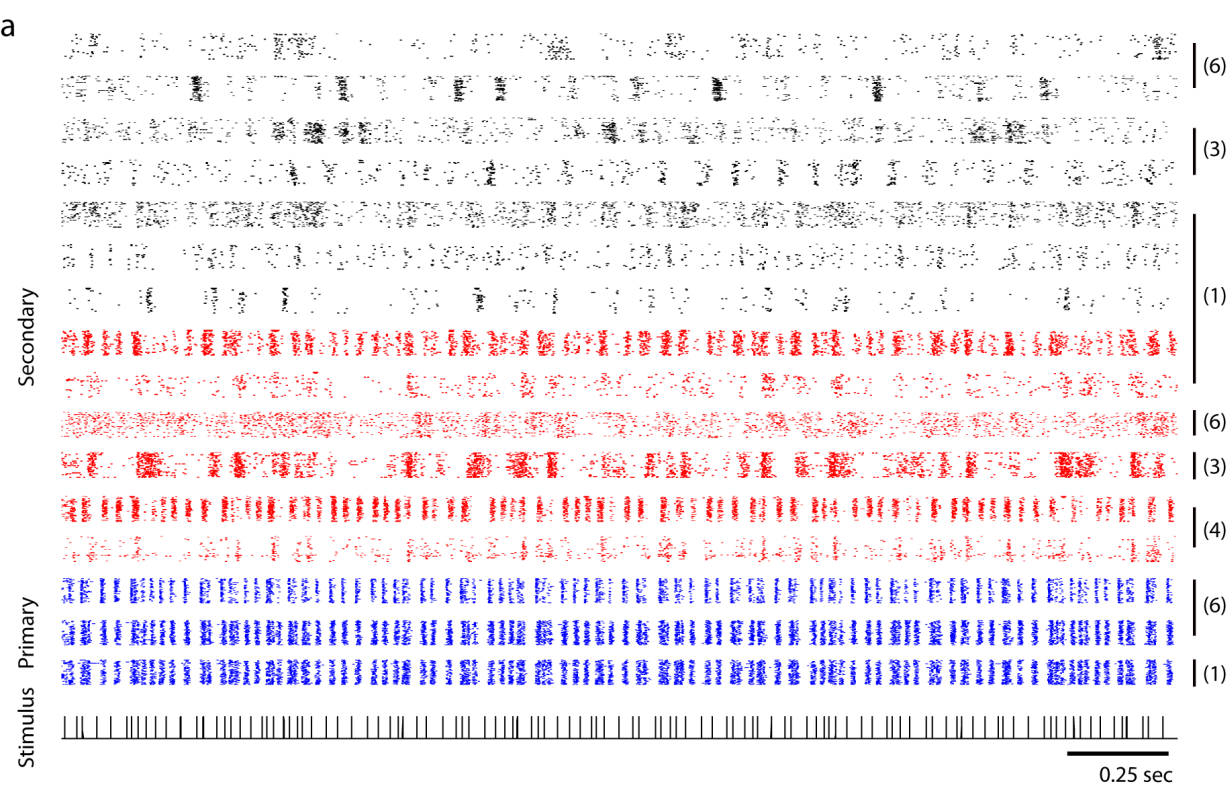
1023 last number of the file name corresponds to the index of click sequence. For example,

1024 Clk\_Sequence\_1.wav contains audio data for sequence 1.

**a****b****c****d**



**a****b****c**

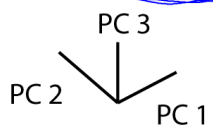


a

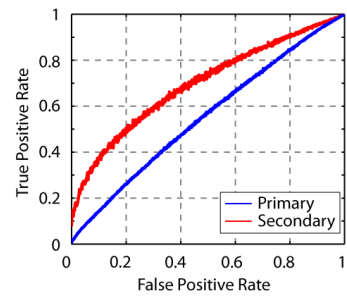
Primary

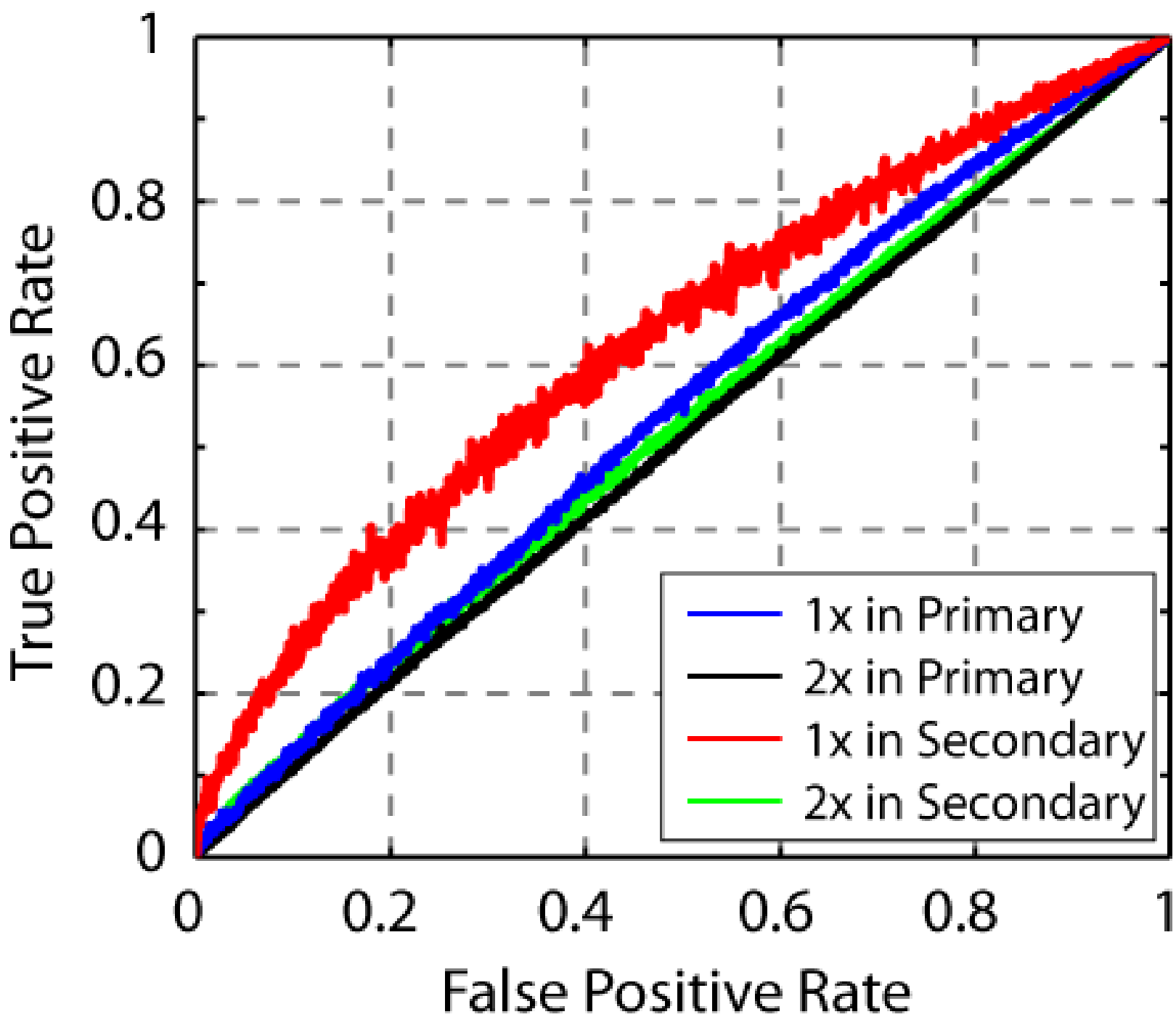
Secondary

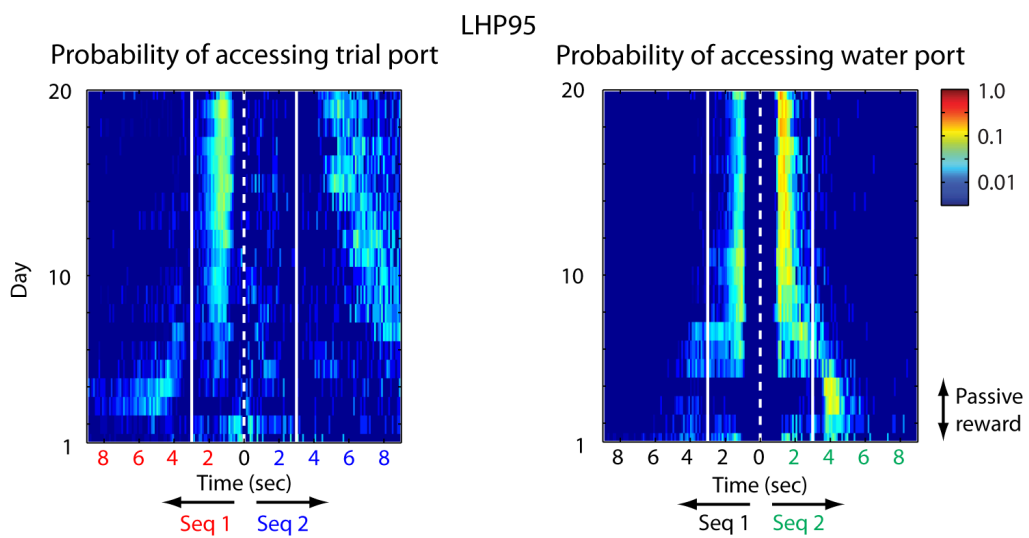
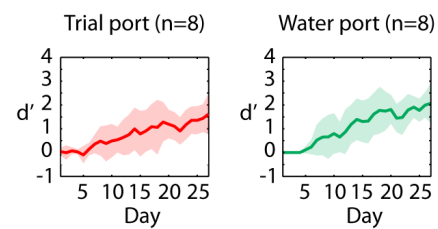
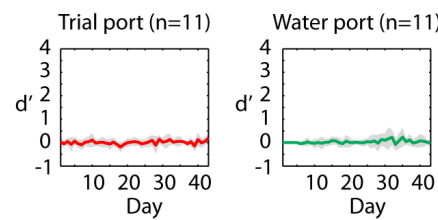
- Sequence 1
- Sequence 2
- Sequence 3



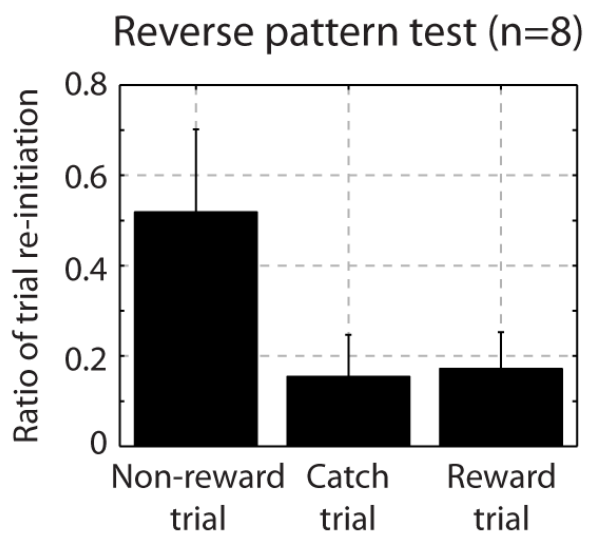
b



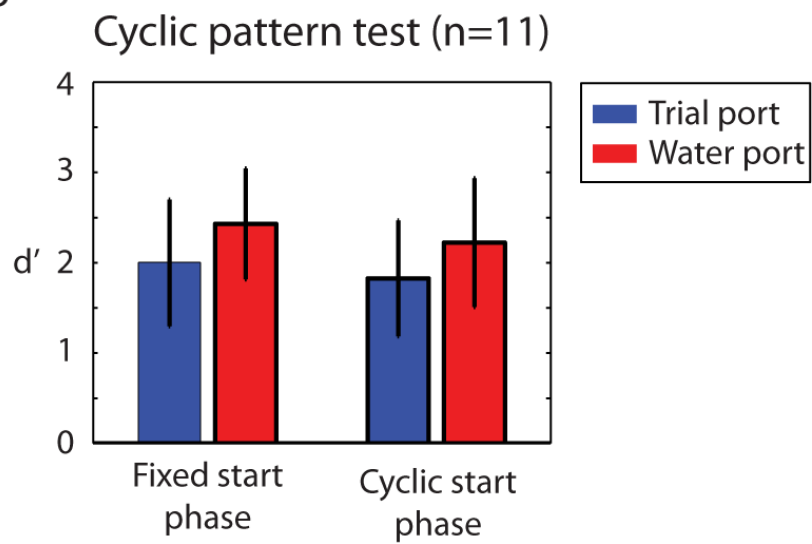


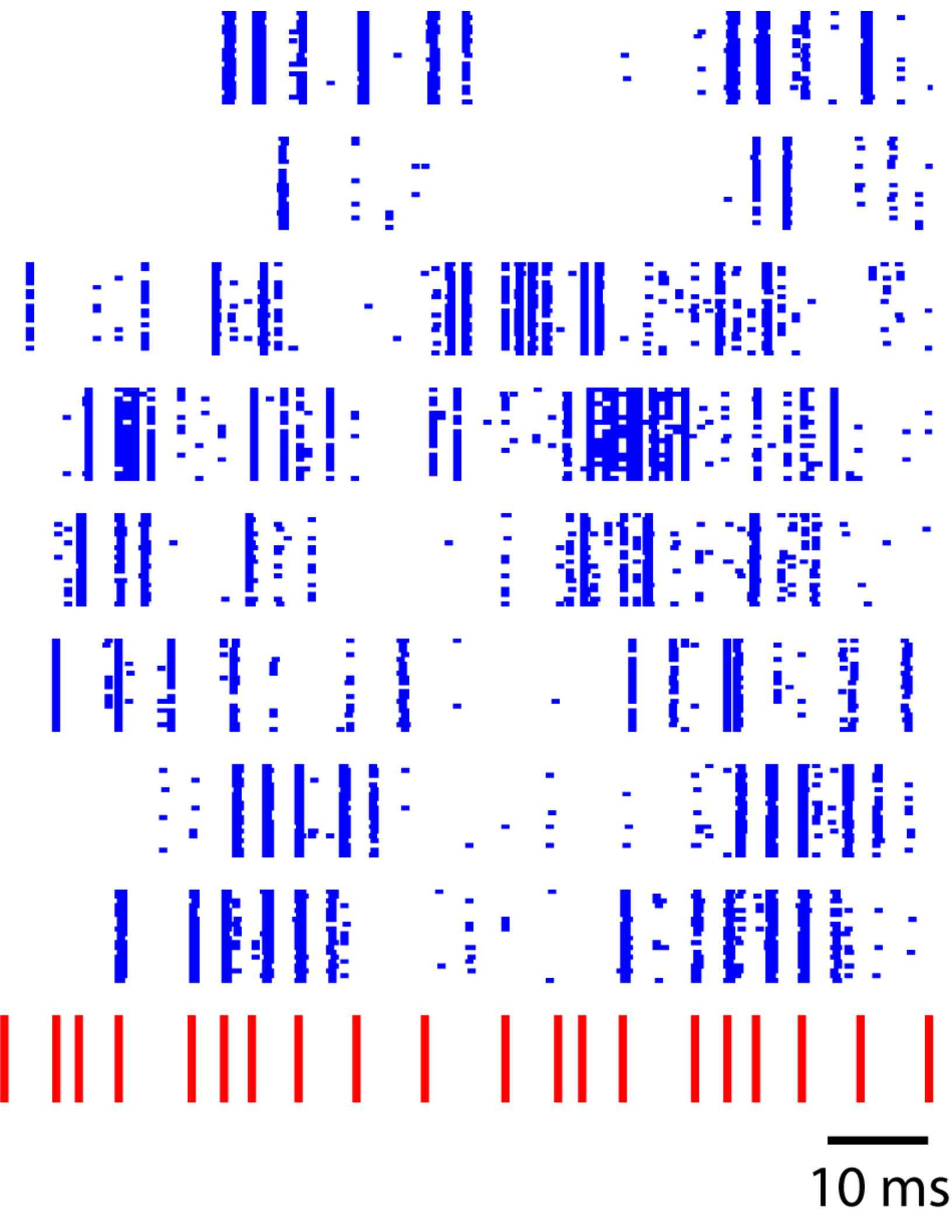
**a****b****c**

a

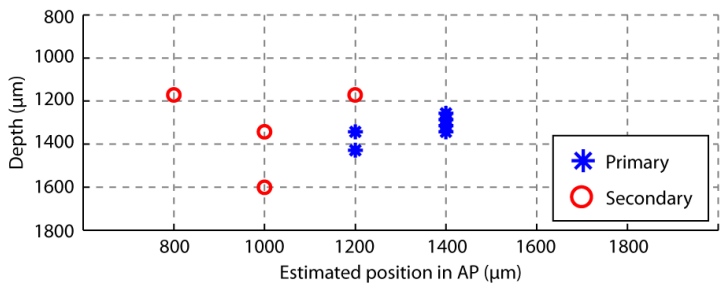


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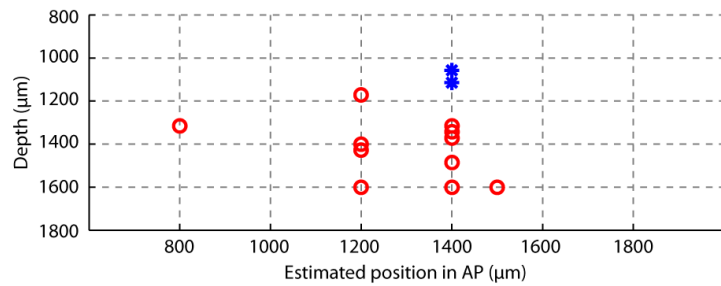




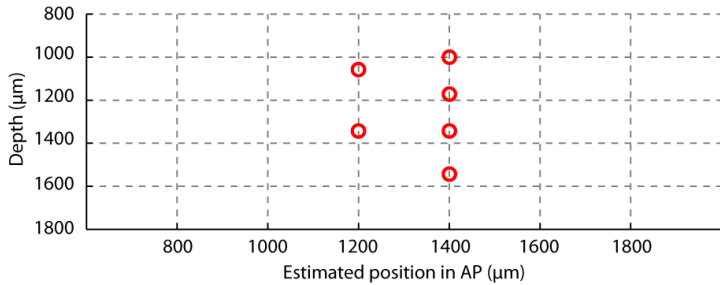
(1) LPI24



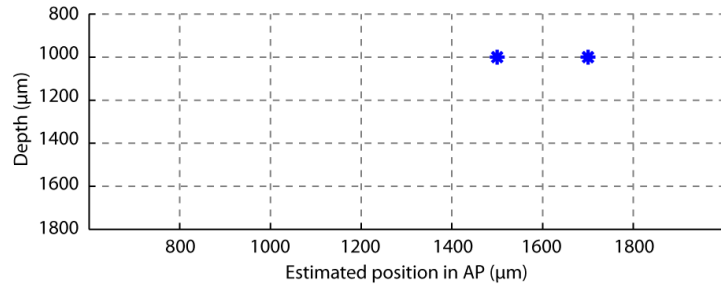
(2) LG344RBLK



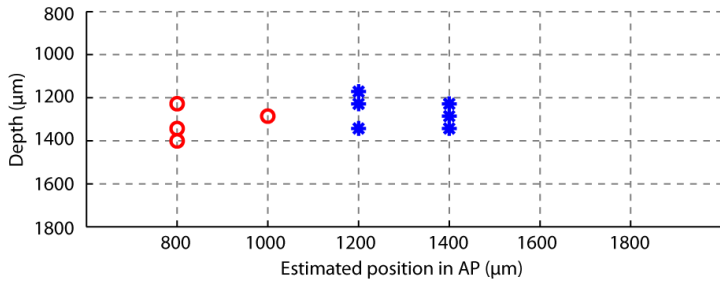
(3) LG304RBLK



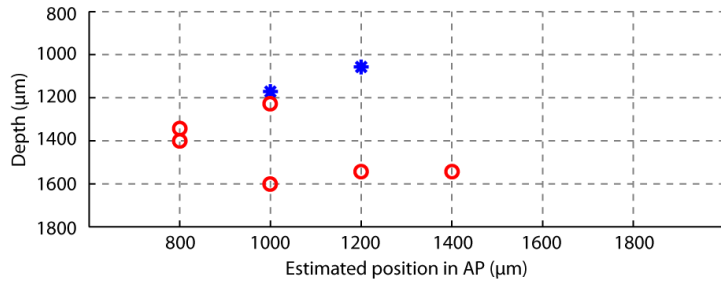
(4) LHP98



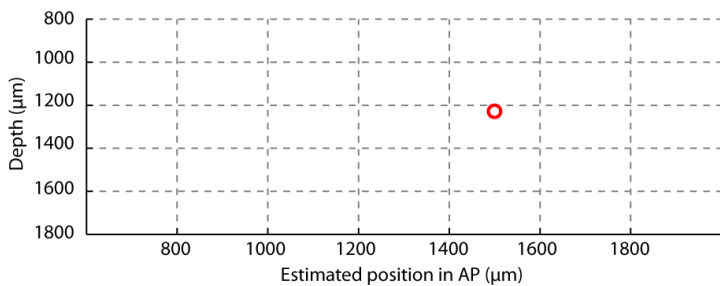
(5) LPUR86



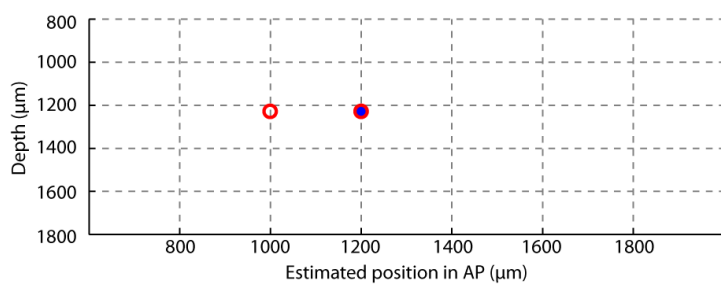
(6) LPUR87



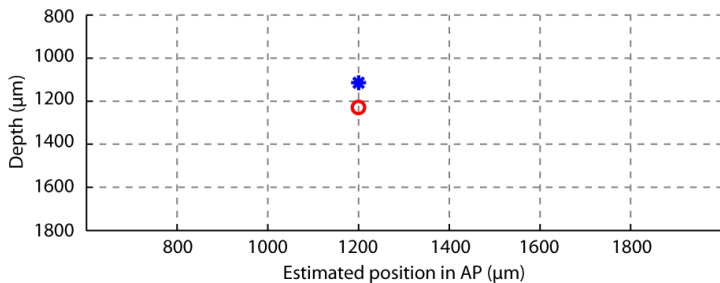
(7) LPUR99



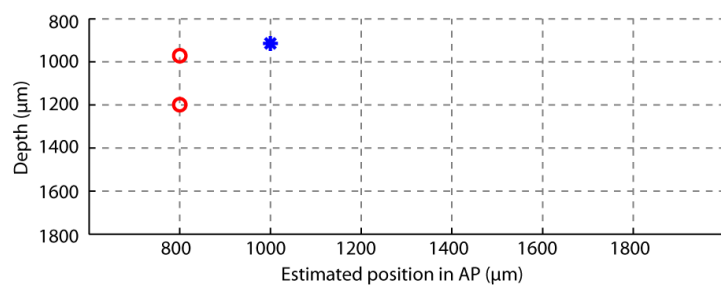
(8) LLB10



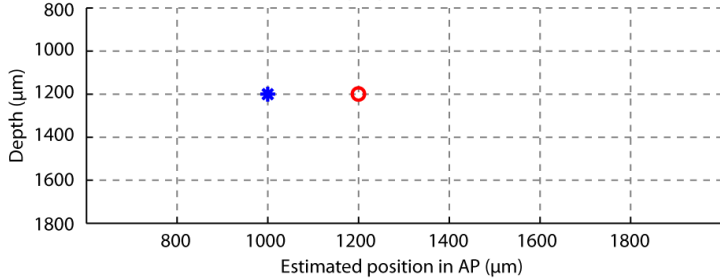
(9) LLB55



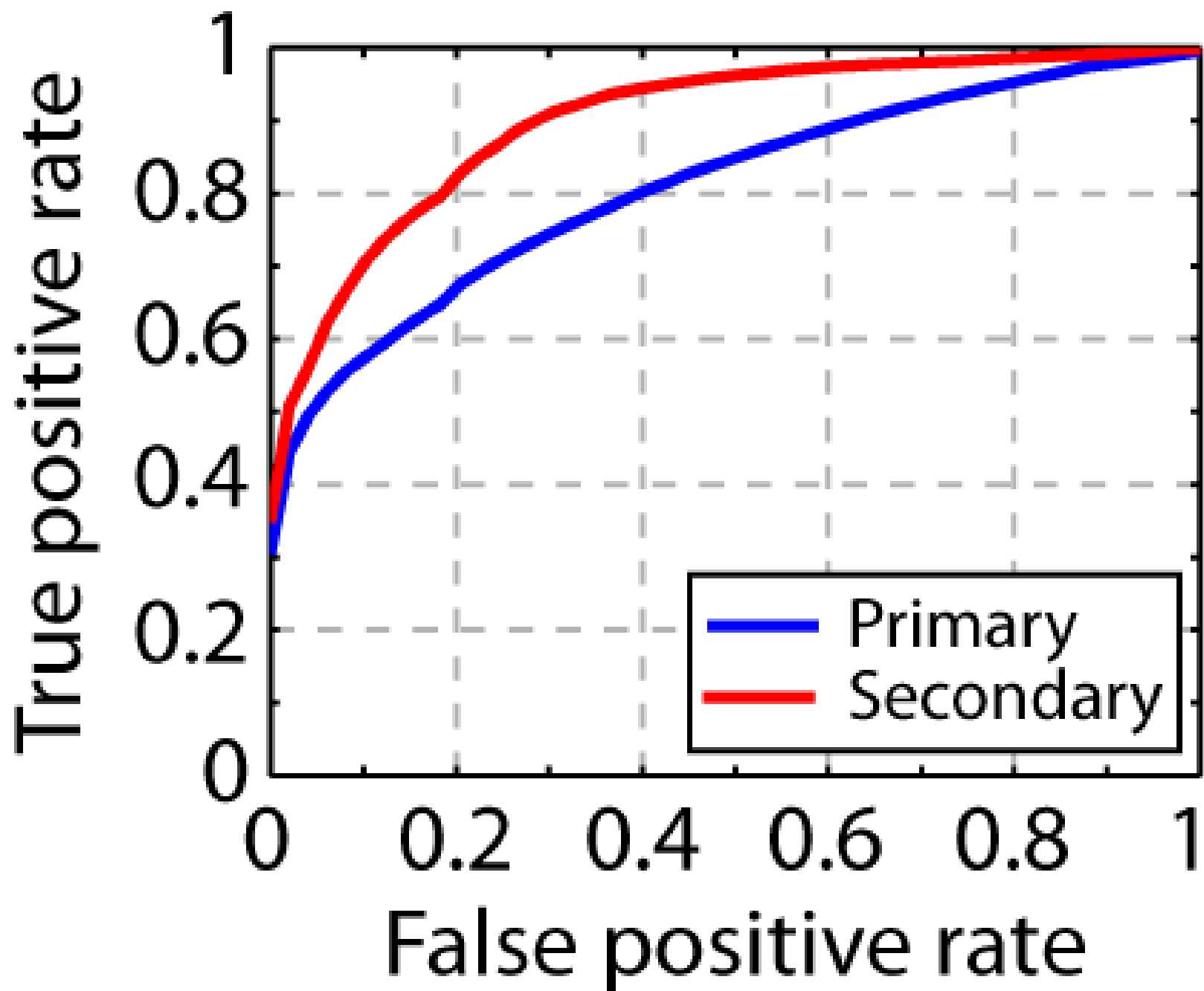
(10) LG350RBLK



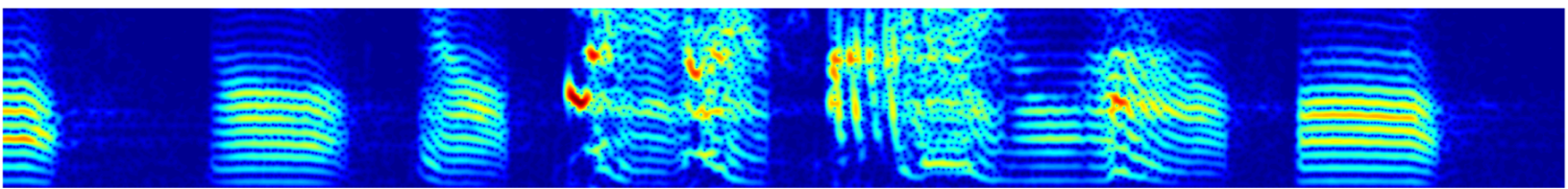
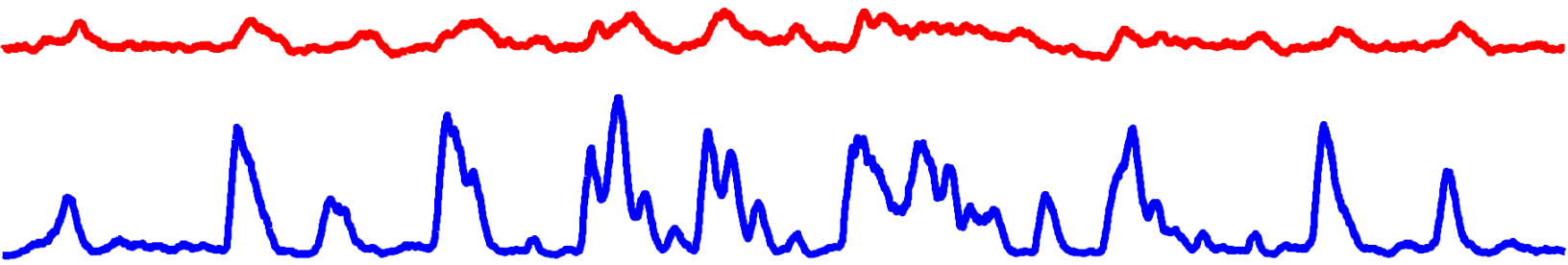
(11) LLB42





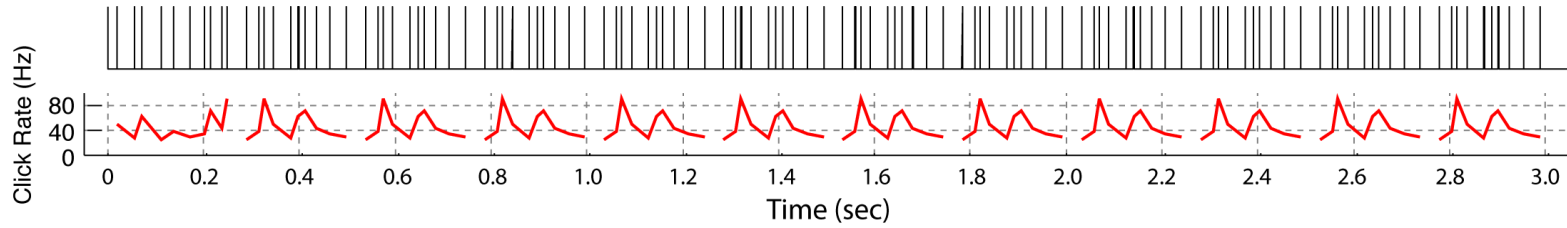


— Primary  
— Secondary

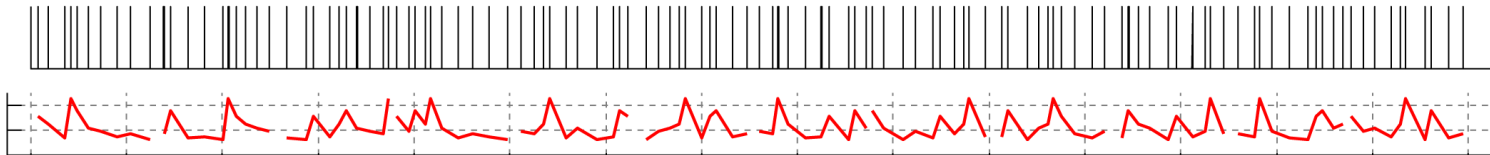


0.1 sec

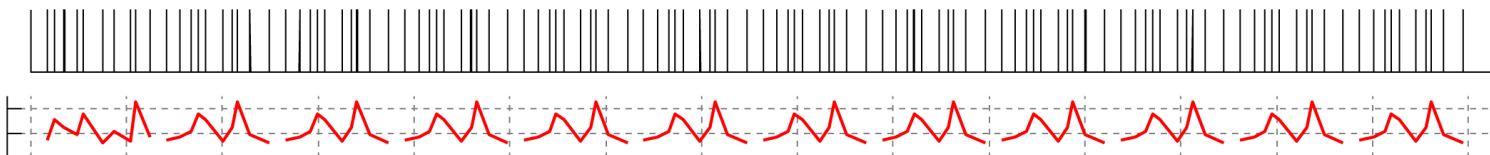
Sequence 1



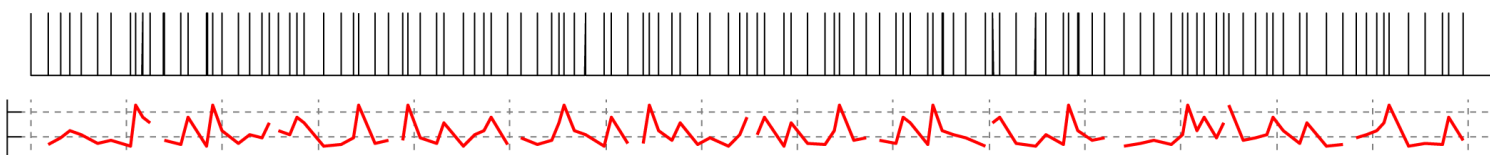
Sequence 2



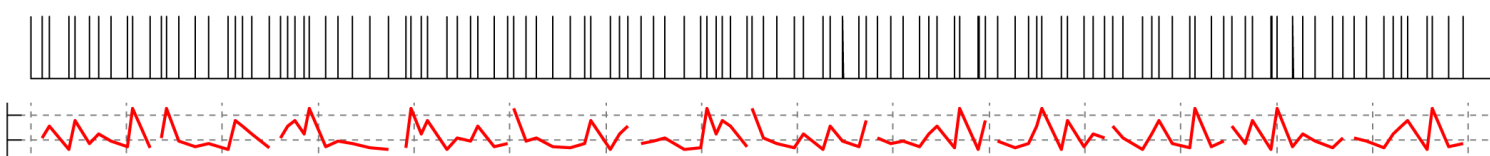
Sequence 3



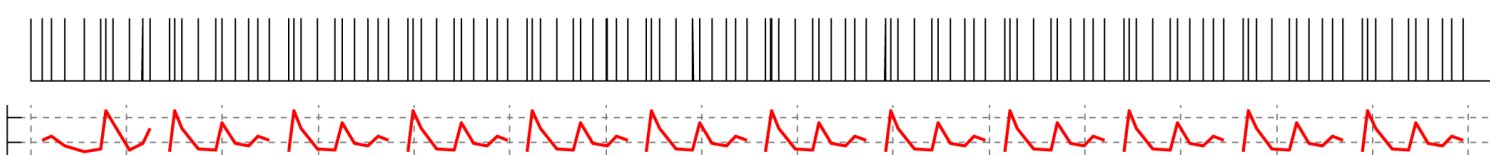
Sequence 4



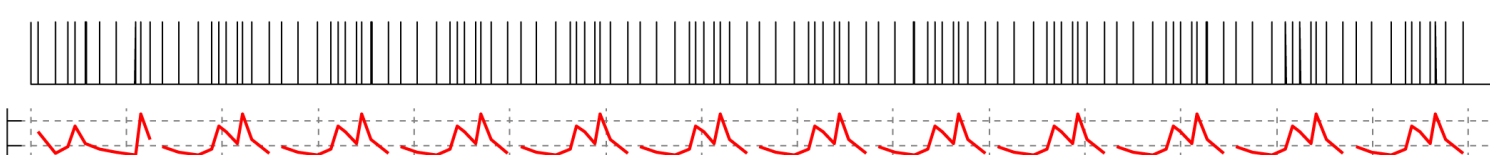
Sequence 5



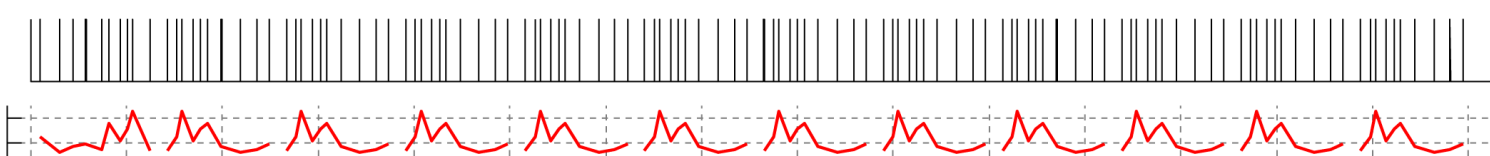
Sequence 6



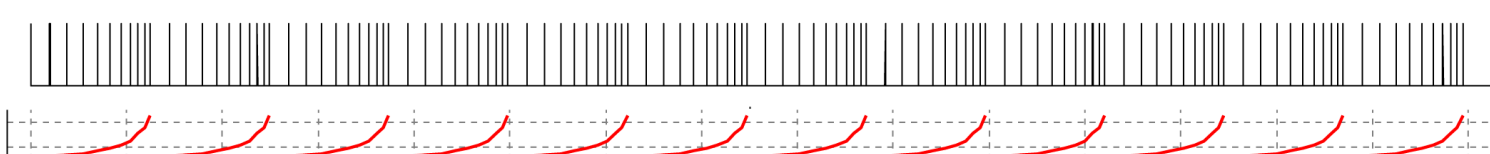
Sequence 7



Sequence 8



Sequence 9

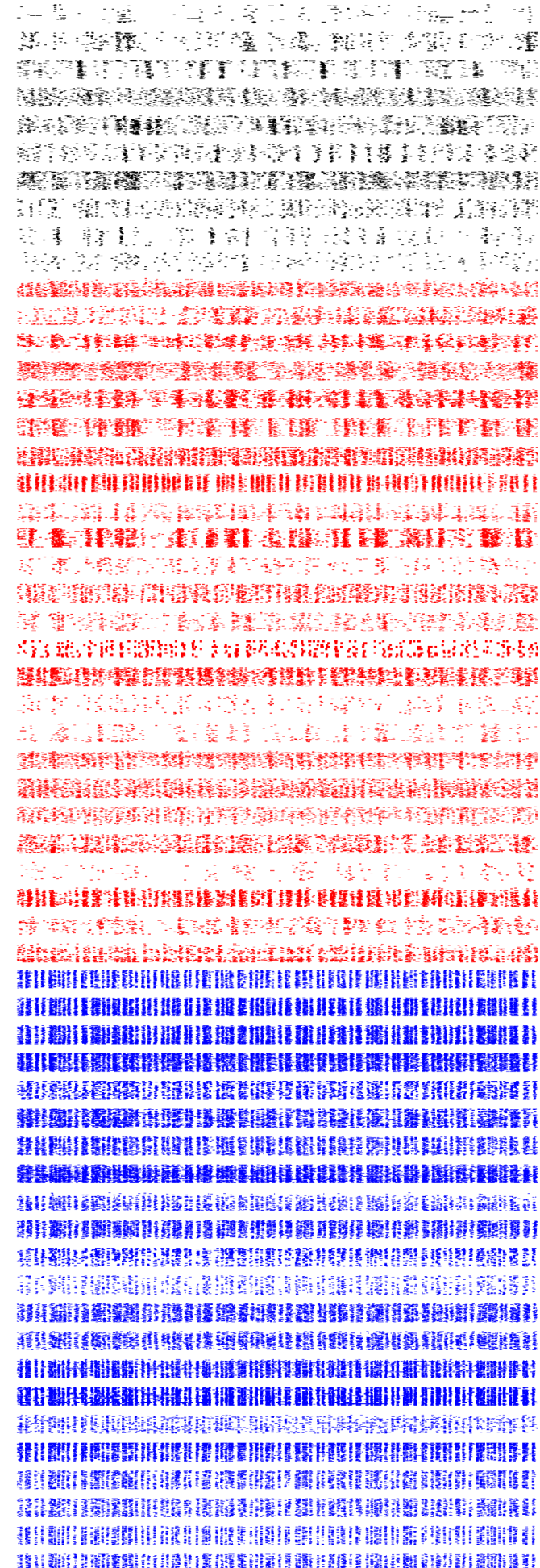
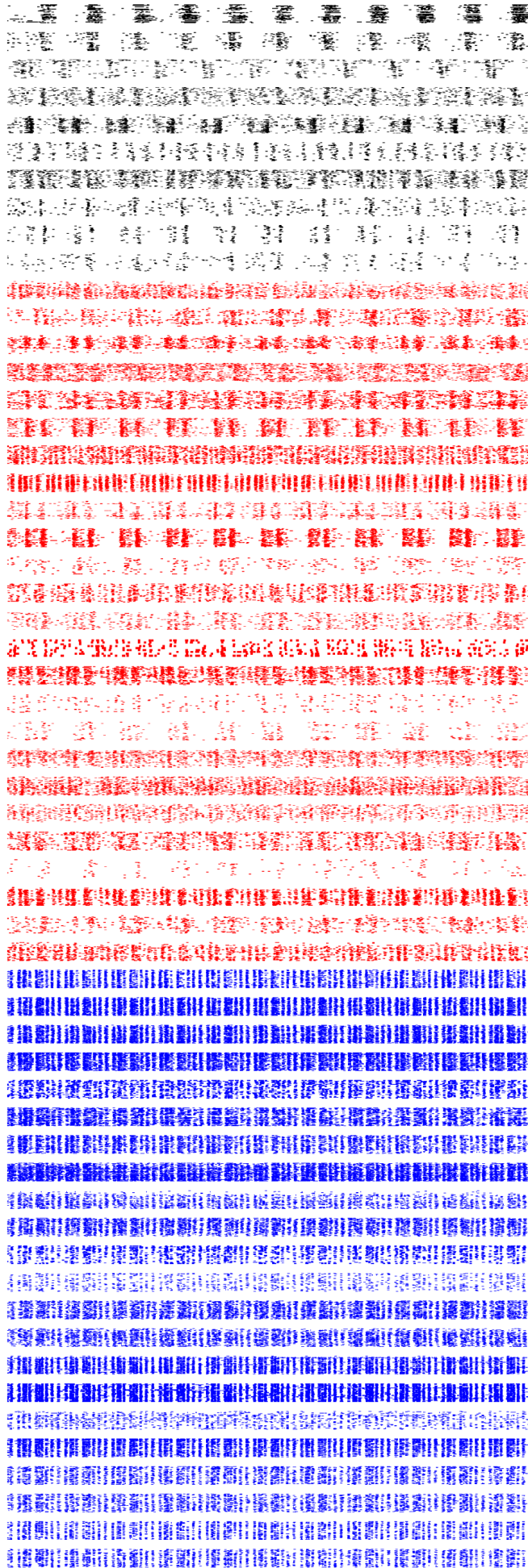


Sequence 1

Sequence 2

Secondary

Primary



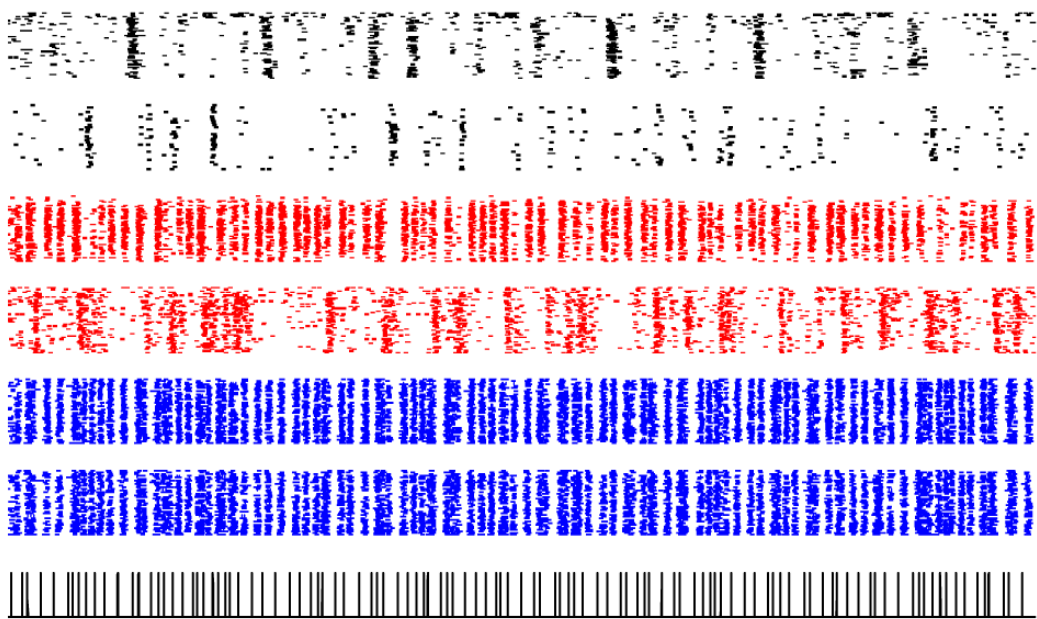
(7)  
 (6)  
 (3)  
 (1)  
 (7)  
 (6)  
 (5)  
 (4)  
 (3)  
 (2)  
 (1)  
 (6)  
 (5)  
 (1)  
 (2)

0.5 sec

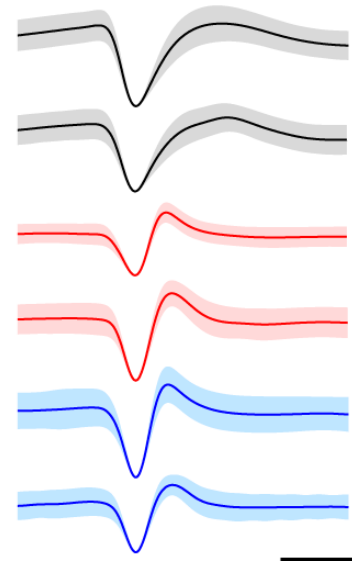
0.5 sec

Secondary

Primary

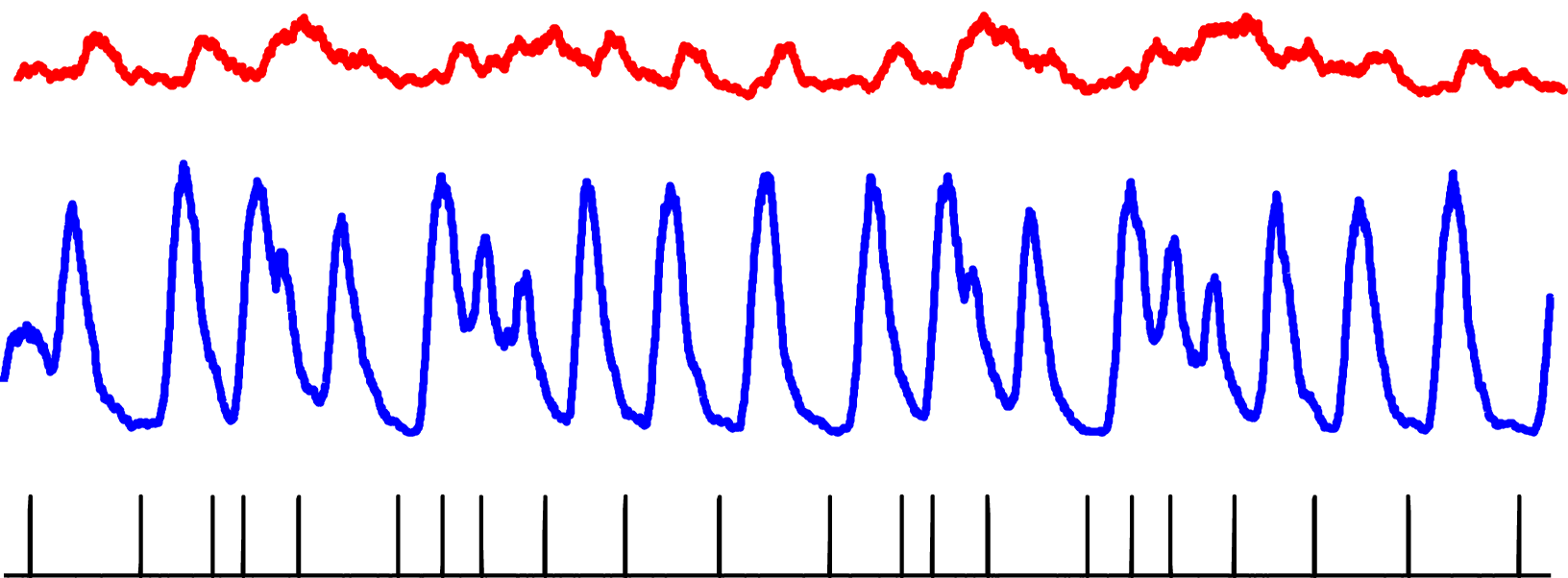


0.5 sec

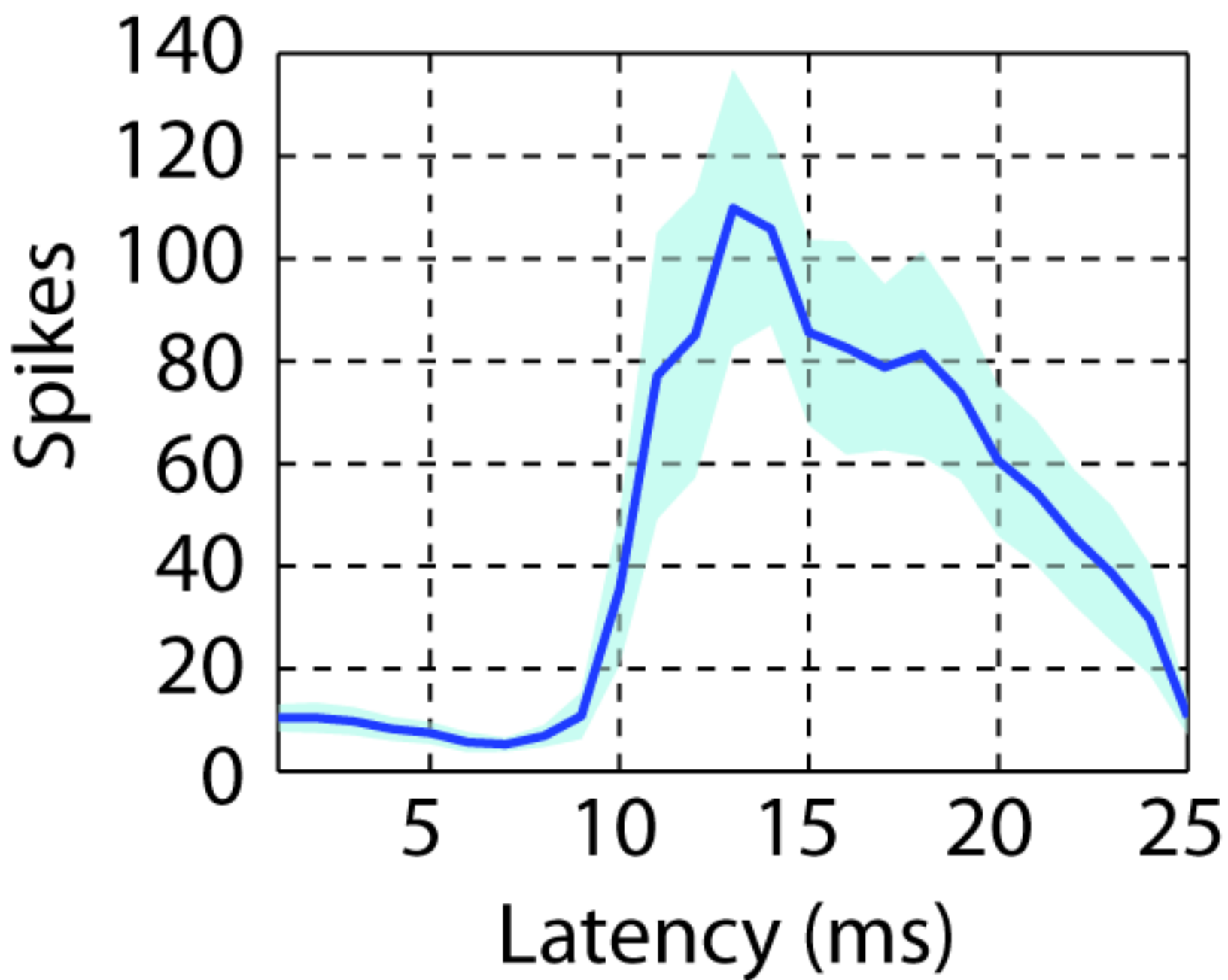


50  $\mu$ V  
500  $\mu$ s

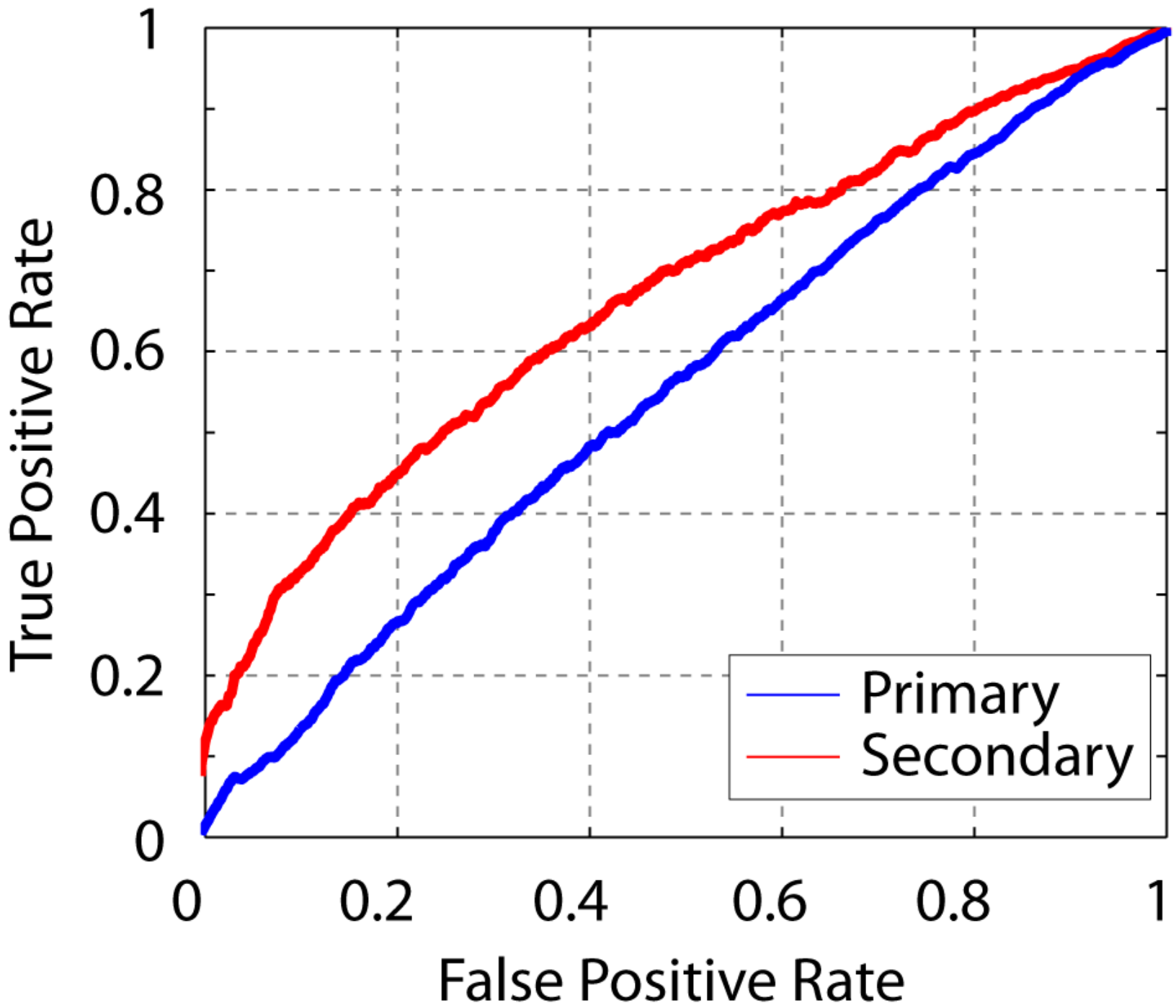
— Primary  
— Secondary



50 ms

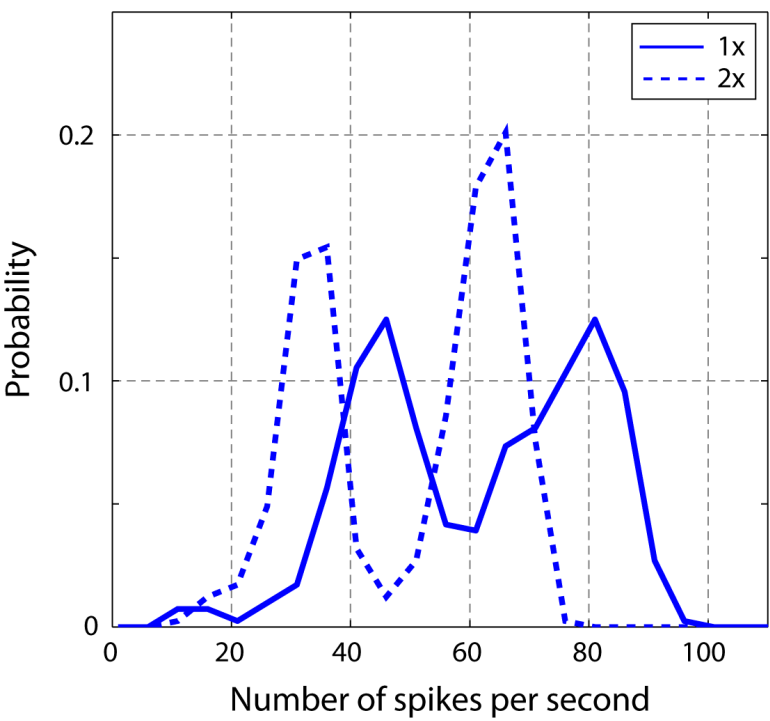


# ROC analysis (500ms)

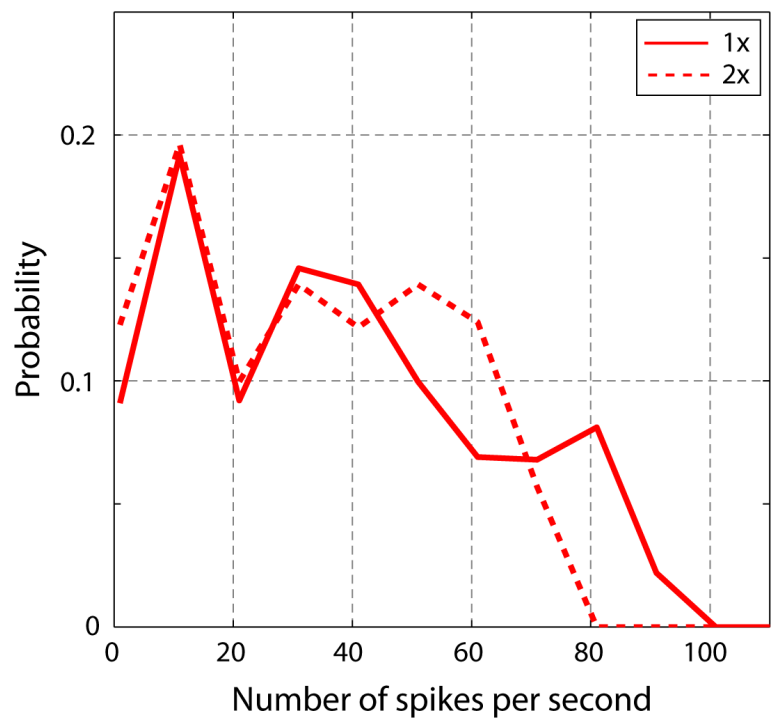




Primary



Secondary



Training room

