

Human face recognition ability is specific and highly heritable

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Compared with notable successes in the genetics of basic sensory transduction, progress on the genetics of higher level perception and cognition has been limited. We propose that investigating specific cognitive abilities with well-defined neural substrates, such as face recognition, may yield additional insights. In a twin study of face recognition, we found that the correlation of scores between monozygotic twins (0.70) was more than double the dizygotic twin correlation (0.29), evidence for a high genetic contribution to face recognition ability. Low correlations between face recognition scores and visual and verbal recognition scores indicate that both face recognition ability itself and its genetic basis are largely attributable to face-specific mechanisms. The present results therefore identify an unusual phenomenon: a highly specific cognitive ability that is highly heritable. Our results establish a clear genetic basis for face recognition, opening this intensively studied and socially advantageous cognitive trait to genetic investigation.

behavioral genetic | face recognition | individual differences | specialist gene | generalist gene

General intelligence, or *g*, has received great attention in both quantitative genetic and molecular genetic studies of cognition (1). Strong heritability is shown by *g*, and neurobiological correlates of *g* have been identified (1–4). However, molecular studies searching for genetic correlates of *g* have only found chromosomal regions with very small effects (5), and studies identifying candidate genes often fail to replicate (6). On the other hand, recent studies investigating reading and spoken language, abilities that rely on more specific cognitive and neural mechanisms, have identified major contributing genes (7, 8). Thus, at least some specific abilities appear relatively tractable to genetic investigation, and it is plausible that abilities involving fewer cognitive and neural mechanisms may generally depend on fewer genes. However, heritabilities of cognitive traits typically decrease as their relation to *g* decreases, and few highly heritable specific abilities have been identified (1, 9). We present here an exception to this trend by demonstrating both high heritability and face specificity of face recognition ability, whose well-defined neural basis and established animal models provide promising tools for investigating its genetic basis at the neural level (10).

Face recognition is a paradigmatic example of a cognitively and neurally dissociable trait. Psychophysical studies suggest that the cognitive representation of faces relies on different computational processes than other stimuli (11), and neuroimaging has identified occipitotemporal areas in humans and macaques that respond much more strongly to faces than to other stimuli (12). Single-unit recording shows that macaque face patches consist of cells that respond exclusively to faces (13). Consistent with these findings, studies with patients and transcranial magnetic stimulation have demonstrated selective impairments or selective sparing of face recognition (14–16).

The heritability of face recognition ability has not been previously investigated, but several findings suggest that this ability

may have a genetic basis. Face recognition deficits with a developmental basis run in families (17, 18), with some familial cases exhibiting normal object recognition (19). In addition, a twin study found that the structure of and functional MRI response within ventral visual cortex, a region containing several face-selective areas, were more similar in monozygotic (MZ) twins than in dizygotic (DZ) twins (10).

We used a classic twin design to determine the relative contributions of genes and environment to face recognition ability. We also used an individual differences-based study to dissociate face recognition ability from other memory abilities.

Results

Our main measure of interest was the Cambridge Face Memory Test (CFMT) (20), a widely used test of face recognition ability requiring study and then recognition of faces in different views and different lighting (Fig. 1A). CFMT isolates face-specific mechanisms by presenting faces without hair or other cues contributing to person recognition (20) (*Materials and Methods*).

For our twin study, we administered CFMT to 164 MZ and 125 same-gender DZ twin pairs. Because both MZ and DZ twins share family environment but MZ twins share twice as many genes as DZ twins (100% vs. 50%), any greater correlation in MZ than DZ twins supports an effect of genes (1). Fig. 2 shows scatter plots of CFMT scores for MZ and DZ twins, with each dot representing a pair of twins. Because MZ twins share both family environment and all their genes, the MZ correlation represents the sum total of family resemblance for a trait. We found an intraclass correlation between MZ twins of $r(162) = 0.70$ [Fig. 2A; 95% confidence interval (CI): 0.61–0.77].

As Fig. 2C shows, the 0.70 MZ correlation we observed was more than double the 0.29 DZ correlation (intraclass $r(123) = 0.29$, 95% CI: 0.12–0.44; for difference between correlations, $P < 0.0001$). For the standard “ACE” twin model that includes additive genetic influence (A), shared environmental influence (C), and the combination of measurement error plus nonfamilial environmental influence (E), an MZ correlation at least double the DZ correlation indicates that 100% of familial resemblance

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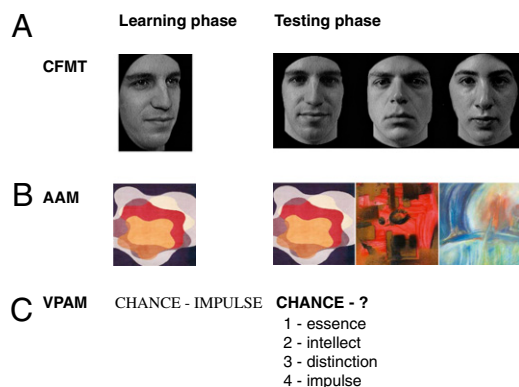


Fig. 1. Examples of stimuli from the tests used. Each test consisted of a learning phase during which target stimuli were memorized and a testing phase during which participants identified the memorized stimuli among different stimuli. (A) CFMT was our test of primary interest (20). (B) AAM was our visual memory control test. (C) VPAM was our nonvisual memory control test (22).

(A + C) is genetic (1). As expected, given these MZ and DZ correlations, a maximum likelihood-based fit of the ACE model to our data controlling for age and gender attributed 100% of familial resemblance in CFMT performance to additive genetic effects (95% CI: 87–100%; detailed modeling analyses are described in *SI Text S1*). These analyses provide evidence that familial resemblance in face recognition ability results primarily from genetic factors.

The difference between measurement reliability and the MZ correlation represents the influence of nonfamilial environment. We calculated several measures of CFMT's reliability: internal consistency reliabilities (Cronbach's α , Guttman's λ_2 , McDonald's ω , and greatest lower bound) (21) ranged from 0.89 to 0.90, test-retest reliability with a mean delay of 6 months was 0.70 ($n = 389$), and alternate-forms reliability with a mean delay of 2 months was 0.76 ($n = 42$) (*SI Text S2*). The 0.20 difference between the MZ correlation and our highest reliability estimate is consistent with a modest but nontrivial nonfamilial environmental contribution to CFMT performance.

To determine whether the observed genetic contribution to CFMT performance is face-specific, we asked whether CFMT performance dissociates from nonface memory ability. We tested large nontwin cohorts ($n > 1,500$) and a subset of our twins ($n =$

120) on CFMT and two control nonface memory tests: a verbal paired-associates memory test (VPAM) (22) (Fig. 1C) and a newly developed abstract art memory test (AAM) (Fig. 1B). VPAM served as a nonvisual control recognition test to CFMT. VPAM tests memory for linguistic information (word pairs), minimizing the contribution of nonlinguistic visual processes by using abstract words that are difficult to translate into visual images (Fig. 1C). AAM served as a nonface control visual recognition test to CFMT. Like CFMT, AAM uses hard-to-verbalize stimuli to minimize the contribution of linguistic processes to visual recognition (Fig. 1B).

Indeed, although VPAM and AAM both showed high internal consistency in our large nontwin sample (Cronbach's $\alpha = 0.80$ and 0.79, respectively; Table 1), their respective correlations with CFMT were only 0.17 and 0.26 ($n = 1,532$ and $n = 3,004$, respectively); we found similar correlations of 0.15 and 0.31 in our twin participants ($n = 120$; Table 1). These low correlations are similar to those found on the Wechsler Memory Scale III between face memory and both verbal paired associates ($r = 0.18$ – 0.22) and nonface visual memory ($r = 0.28$ – 0.30) (23).

The low correlations between VPAM and CFMT performance in both twins and nontwins demonstrate that the heritability we observed for CFMT was not the result of factors such as motivation, attention, computer literacy, g , or general memory. Because AAM is very similar to CFMT but uses abstract art rather than faces, the modest correlations between CFMT and AAM indicate that general visual processes make only limited contributions to CFMT performance. These low correlations suggest that both face recognition ability itself and its genetic basis depend primarily on face-specific mechanisms (*SI Text S3*).

Discussion

Our twin findings indicate that genetic differences can account for most of the stable variation in face recognition ability in healthy adults. Our investigation of CFMT's face specificity demonstrates that face recognition ability overlaps little with other visual and memory abilities, suggesting that both face recognition ability itself and its genetic basis are largely face-specific. The present results therefore identify a rare phenomenon: a highly specific cognitive ability that is highly heritable (1, 9).

Recent documentation of families with multiple prosopagnosic members demonstrated that specific face recognition deficits can run in families (20, 22). However, such familial clustering could result from either familial environment or familial genes. The current results demonstrate that familial clustering in face recognition ability has a strong genetic basis. The high heritability of face recognition indicates that linkage and positional cloning studies

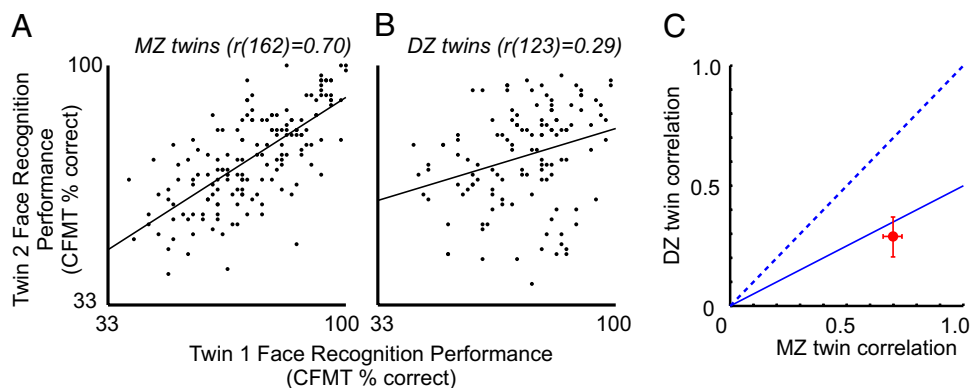


Fig. 2. CFMT twin correlations. The second-born twin's CFMT score (y axis) plotted against the first-born twin's score (x axis) for MZ ($n = 164$) twins (A) and DZ ($n = 125$) twins (B). The score is the total % correct of 72 items. Chance performance is 24 of 72 items correct (33.3%). MZ intraclass correlation is $r_{MZ}(162) = 0.70$, and DZ intraclass correlation is $r_{DZ}(123) = 0.29$. (C) Plot of DZ vs. MZ twin intraclass correlations. Lines represent the extreme cases in which all family resemblance is caused by shared environmental (dotted line, $r_{DZ} = r_{MZ}$) or additive genetic (solid line, $r_{DZ} = 0.5 \cdot r_{MZ}$) effects, according to the classic twin ACE model (1). Error bars represent 68% (\pm SE) CIs.

Table 1. Performance on CFMT, AAM, and VPAM

Test (population, testing method)	N (female)	Age (SD or range)	Mean % correct (SD)	Cronbach's α
CFMT (twin, web)	578 (540)	37 (11)	74.2 (13.7)	0.89
CFMT (nontwin, web)	3,004 (1,932)	28 (12)	75.6 (13.4)	0.90
CFMT (nontwin, laboratory) (33)	153 (80)	24 (5)	72.2 (12.8)	0.86*
CFMT (nontwin, laboratory) (30)	124 (73)	23 (18–32)	76.9 (11.8)	0.88
CFMT (nontwin, laboratory) (20)	50 (29)	20 (2)	80.4 (11.0)	0.86
AAM (twin, web)	120 (89)	38 (11)	66.0 (12.3)	0.77
AAM (nontwin, web)	3,004 (1932)	28 (12)	66.2 (12.6)	0.79
VPAM (twin, web)	120 (89)	38 (11)	41.5 (17.5)	0.72
VPAM (nontwin, web)	1,532 (986)	27 (11)	50.2 (20.4)	0.80

* α estimated by applying Spearman–Brown correction to α 's within CFMT blocks 2 and 3 (33).

investigating the genetic basis of face recognition may be successful. Such studies can complement association studies in families with multiple prosopagnosics.

Although indicating that familial resemblance in face recognition ability results primarily from genetic factors, our data are also consistent with a modest nonfamilial environmental contribution to CFMT performance. Indeed, prior literature demonstrates that face processing is sensitive to environmental input. Face perception shows adaptation effects (24), perceptual narrowing occurs with development (25, 26), and recognition of faces from infrequently observed races is notoriously poor (27, 28). Extreme environmental variables, such as visual deprivation in the months after birth, also have an impact on face processing (29).

More generally, the discovery that face recognition is highly heritable indicates that cognitive neuroscience can usefully guide behavioral genetic investigations. Dividing the brain into its component systems is a fundamental task in cognitive neuroscience, and investigation of these systems may be an effective means to link genes and behavior.

Materials and Methods

Our main measure of interest, CFMT, was designed to assess face-specific mechanisms (20). Evidence that CFMT taps face-specific mechanisms comes from three sources. First, CFMT performance in normal controls decreases dramatically (from 80.4% correct to 58.4% correct) when the faces are inverted (20). Second, CFMT performance correlates robustly with tests of both face perception [Cambridge Face Perception Test: $r(87) = 0.60$, $P < 0.0001$] (30) and long-term face memory [Before They Were Famous Test: $r(27) = 0.70$, $P < 0.001$] (31); Famous Faces Test: $r(778) = 0.51$, $P < 0.0001$; *SI Text S4*]. Third, CFMT powerfully discriminates individuals with specific face processing deficits (prosopagnosia) from normal controls (20), and prosopagnosics with normal object recognition have shown severe impairments on CFMT (14, 19).

For our twin study, we recruited 289 twin pairs aged 18–57 years: 164 MZ twins (mean age = 37.1, 122 female) and 125 same-gender DZ twins (mean age = 37.2, 91 female). Of the 289 twin pairs, 238 pairs (119 MZ, 82 female; 122 DZ, 88 female) participated following direct mailings from the Australian Twin Registry; the rest responded to online advertisements or constituted normal traffic to Test My Brain, our web-based testing environment. Each twin completed CFMT. Because data from registry-recruited and web-recruited twins did not differ significantly in any respect, data were com-

bined. We classified twins as MZ or DZ via latent class analysis with a standard survey instrument, a method that correctly classified 99.6% of individual twins (241 of 242) in a validation study when compared with direct genetic testing (32). Because the single reported misclassification using this method happened for only 1 of the 2 twins in a pair (32), we dropped from our analysis twin pairs in which one twin was classified as MZ and the other DZ ($n = 8$ pairs). No combination of MZ and DZ classification of these dropped pairs changed our estimated genetic contribution to face recognition by more than a fraction of 1%.

For our study of the face specificity of CFMT, we recruited a large nontwin cohort ($n = 3,004$) from normal traffic to Test My Brain. All 3,004 nontwin participants completed CFMT and AAM, and 1,532 of these participants completed VPAM. CFMT mean performance and internal consistency for our web-recruited participants were similar to those of laboratory-tested participants from prior studies (Table 1), evidence that our web-recruited participants produced data of a quality comparable to that produced by laboratory testing. A subset of our twins ($n = 120$) also completed AAM and VPAM as well as CFMT.

All tests were administered via the web. Each test consisted of a learning phase and a testing phase (Fig. 1). For CFMT (Fig. 1A), participants memorized target faces and were then tested for recognition of these faces, choosing on each trial which one of three faces they saw previously. CFMT consists of three blocks of increasing difficulty: The first block tests recognition of images identical to those in the study phase, the second block uses different images, and the third block uses different images with added visual noise. For VPAM (Fig. 1C), participants memorized 25 target word pairs and were then tested for recognition of these word pairs, choosing on each trial which one of four words was paired with a given word. For AAM (Fig. 1B), participants memorized 50 target abstract art images and were then tested for recognition of these images, choosing on each trial which one of three images they saw previously. This research was approved by the Harvard University and Macquarie University Institutional Review Boards and conformed to the Declaration of Helsinki.

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Supporting Information

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SI Text S1: Twin Model-fitting Analyses

We used the statistical modeling software package Mx (<http://www.vcu.edu/mx>) to fit standard maximum likelihood-based models of genetic and environmental influence to raw MZ and DZ twin data. We first fit a full ACE model to the data, controlling for age and gender. The ACE model divides familial resemblance (A + C) into additive genetic effects (A) and shared environmental effects (C) and attributes the remaining variation (E) to some combination of measurement error and unique environmental effects (1). Because the ACE model estimated the influence of shared environment (C) on face recognition performance as 0% (95% CI: 0–18%), we fit a second “AE” model that dropped C. The AE model fit our data as well as the ACE model, as indicated by a zero χ^2 statistic, and did so more parsimoniously, with one fewer parameter. Akaike’s Information Criterion, an indication of model performance that rewards goodness of fit, while penalizing additional parameters, decreased for the AE model (from 0 to –2), indicating that it outperformed the ACE model. According to the AE model, additive genetic factors account for 68% of total variation in face recognition performance (95% CI: 59–74%), or 100% of familial resemblance in face recognition performance (95% CI: 87–100%). An “ADE” model that included both additive (A) and nonadditive (D) genetic effects did not fit our data better than the AE model [$\chi^2(1) = 2.21, P = 0.14$] despite the added (D) parameter. These modeling analyses confirmed that familial resemblance in face recognition can be attributed to genetic effects.

SI Text S2: Reliability Analyses

Nonfamilial variation in face recognition performance (E in our ACE model) accounted for 32% of CFMT variation (95% CI: 26–41%). Given reliability data, E can, in theory, be parsed into measurement error (1.0 – reliability) and nonfamilial environmental influence (reliability – MZ correlation). We have computed several reliability statistics.

We computed several measures of internal consistency reliability, including Cronbach’s α , Guttman’s λ_2 , McDonald’s ω , and greatest lower bound (2), separately on both our twin and nontwin data. These measures ranged between 0.89 and 0.90. Test-retest reliability was 0.70 in 389 nontwin participants who completed CFMT twice with a mean delay of 6 months (95% CI: 0.64–0.74). Scores rose moderately from test to retest (mean = 76.9%, SD = 12.9% to mean = 83.2%, SD = 12.9%), as did Cronbach’s α (0.86 to 0.90), and there were no outliers (all Cook’s D’s <0.25). Alternate forms reliability was 0.76 in 42 nontwin participants who completed CFMT after its alternate form with a mean delay of 2 months (95% CI: 0.59–0.86). Scores were moderately higher for the alternate form than for the original CFMT (mean = 78.6%, SD = 16.3% and mean = 72.0%, SD = 16.4%, respectively), Cronbach’s α ’s were comparable (0.86 and 0.88), and there were no outliers (all Cook’s D’s <0.50).

CFMT’s high internal consistency reliability suggests little measurement error. Test-retest and alternate-forms reliability are lower, perhaps partially attributable to the so-called “state” factors, such as wakefulness, testing conditions, and mood, that exhibit transient fluctuations. Such fluctuations could differentially affect twins in a pair and do not contribute to stable individual differences. However, learning effects can also attenuate test-retest reliability, and nonperfect equivalence between forms can attenuate alternate-forms reliability.

In sum, the reliability estimates vary substantially (0.70–0.90), but the 0.20 difference between the MZ correlation and our highest reliability estimate is consistent with a modest but nontrivial nonfamilial environmental contribution to CFMT performance.

SI Text S3: Control Task Analyses

Our two nonface memory control tests account for a far smaller portion of the variance in CFMT performance (7.4% for both VPAM and AAM combined in our large nontwin cohort) than the variance in CFMT performance shared between our MZ twins (48.8% for our MZ twins). Therefore, even if the entire 7.4% overlap between CFMT and control tasks contributed to CFMT’s MZ correlation, subtracting this variance out would still leave 44.7% of CFMT’s variance shared between MZ twins [(48.8 – 7.4%)/(100 – 7.4%) = 44.7%], corresponding to an MZ correlation of 0.67. This “worst case” correlation decreases only slightly when differential reliability between tasks is taken into account (0.63) and/or when correlations between tasks are determined from the small twin cohort that completed all three tasks ($n = 120$) (0.66 using raw twin data, 0.61 using reliability-corrected twin data) rather than the large web-recruited sample ($n > 1,500$).

SI Text S4: Correlations Between CFMT and Memory of Famous Faces

We administered both CFMT and a 20-item Famous Faces Recall test (FFR) to 780 nontwin web-recruited participants (Cronbach’s α for FFR = 0.87). The robust association between these measures [$r(778) = 0.51, P < 0.0001$] links CFMT to the long-term face memory processes necessary to recognize celebrities in FFR. As a further (rough) probe of memory for celebrity faces, we asked a subset of twin participants simply to rate on a five-point Likert scale their endorsement of the statement “I can recognize famous celebrities in photos or on TV,” as well as a control statement, “I can remember a seven-digit telephone number long enough to write it down.” CFMT performance correlated significantly with the former [$r(188) = 0.37, 95\% \text{ CI: } 0.24\text{--}0.49$] but not at all with the latter [$r(188) = 0.01, 95\% \text{ CI: } -0.13 \text{ to } 0.15$]; a linear fit finds an average 1.1 SD higher CFMT performance for those who report “always” recognizing celebrities compared with those who report “not usually” recognizing celebrities.

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